

INTRODUCTION TO BECS II

# DIGITAL COMMUNICATION SYSTEMS

# 6.02 Spring 2011 Lecture #5

- · Intersymbol interference
- Deconvolution
- Stability & noise, approximate deconvolvers

6.02 Spring 2011

Lecture 5, Slide #1

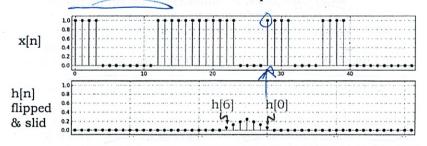
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Same as 6.041

Convolution sum: "flip and slide"



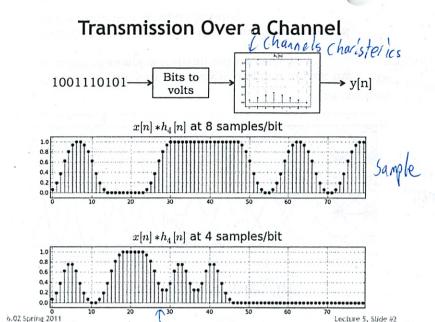
y[28] = x[28]h[0] + x[27]h[1] + ... + x[22]h[6]

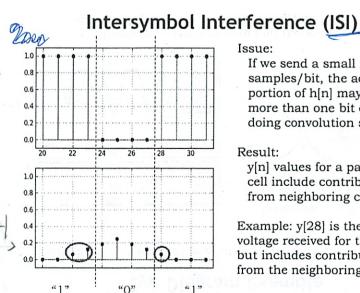
Visual representation of convolution sum: do a horizontal flip of the of graph of h[n], then slide along under x[n].

To compute y[m], slide flipped h[n] until h[0] is under x[m], then compute sum of element-by-element product of the two sequences.

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Lecture 5, Slide #3





If we send a small number of samples/bit, the active portion of h[n] may cover more than one bit cell when doing convolution sum.

worse case ()

y[n] values for a particular bit cell include contributions from neighboring cells.

Example: y[28] is the lowest voltage received for the "0" bit, but includes contributions from the neighboring "1" bits.

transmition

# Given h[n], how bad is ISI?

In general -our Hovers 3 bit

all possible

Eve Diagram Example

Recipe:

1. Compute B, the number bits "covered" by h[n]. Let N =

 $B = \left| \frac{\text{length of active portion of h[n]}}{N} \right| + 2$ 

2. Generate a test pattern that contains all possible combinations of B bits - want all possible combinations of neighboring cells. If B is big, randomly choose a large number of combinations.

3. Transmit the test pattern over the channel (2N\*B samples)

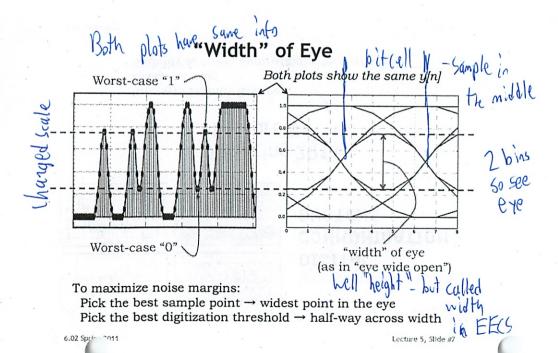
4. Instead of one long plot of y[n], plot the response as an eye diagram:

a. break the plot up into short segments each containing 2N+1 samples, starting at sample 0, N, 2N, 3N, ...

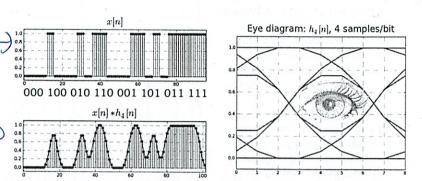
b. plot all the short segments on top of each other

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Lecture 5, 5lide #5



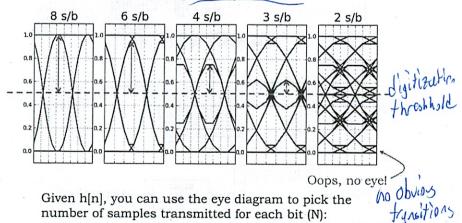
Using  $h_4[n]$  and samples\_per\_bit=4: N = 3



Eye diagrams make it easy to find the worst-case signaling conditions at the receiving end.

6.02 Spring 2011 Lecture 5, Slide #6 in Stead of looking through music for a C# Plot all measures on top of each other and look for note

# Choosing Samples/Bit

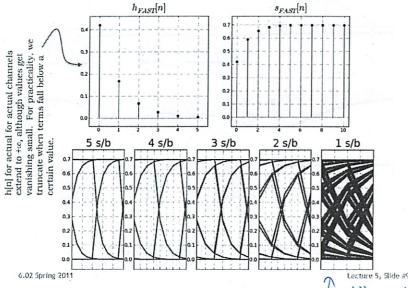


Reduce N until you reach the noise margin you feel is the minimum acceptable value.

number of samples transmitted for each bit (N):

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# Example: "fast" channel



Example: "ringing" channel

SALING [n]

10 5/b

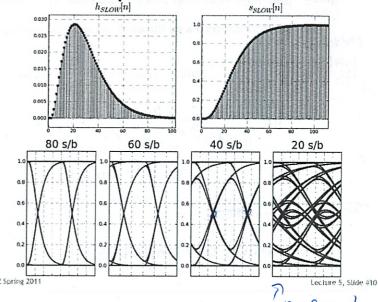
20 5/b

10 5/b

20 5/b

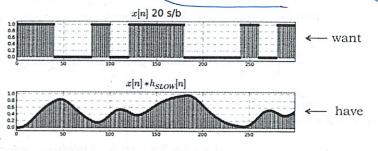
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Example: "slow channel"



in exe here all transitions s.

### Can We Recover From ISI?



After all, in a perfect world (no noise), no information has been lost, only spread out over many samples.

Given y[n] and h[n], can we develop an estimate w[n] for the actual input waveform x[n]? We could, of course, easily receive x[n]!

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Lecture 5, Stide #12

# Difference Equation for w[n]

If w[n] was a perfect estimate of x[n], it would satisfy:

$$y[n] = w[n]h[0] + w[n-1]h[1] + w[n-2]h[2] + ... + w[n-K]h[K]$$
Simplifying assumption:  $h[K]$  is last non-zero element that  $e$  length the solve this for  $w[n]$ :

Let's solve this for w[n]:

$$w[n] = \frac{1}{h[0]} (y[n] - w[n-1]h[1] + w[n-2]h[2] + \dots + w[n-K]h[K])$$

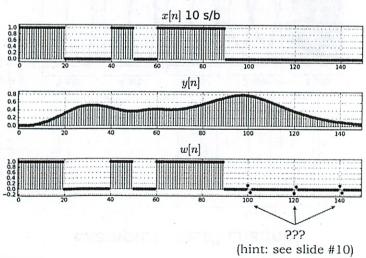
incrementally compute sequence w[n] using a straightforward "plug and chug" approach:

Given y[n] and h[n], we can incrementally compute sequence w[n] using a straightforward "plug and chug" approach: 
$$w[0] = \frac{1}{h[0]} (y[0]) \qquad \frac{h[i] = 0 \quad i < 0 \text{ or } i > K}{w[j] = 0 \quad j < 0}$$
$$w[1] = \frac{1}{h[0]} (y[1] - w[0]h[1])$$
$$w[2] = \frac{1}{h[0]} (y[2] - w[1]h[1] - w[0]h[2])$$

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(mosters to this very well

# **Deconvolution Example**



What if h[0]=0?

$$w[n] = \frac{1}{h[0]} (y[n] - w[n-1]h[1] + w[n-2]h[2] + \dots + w[n-K]h[K])$$

Oops! Division by 0 isn't a good idea...

Zeros at the beginning h[n] represent a channel with a delay: m zeros would mean a m-sample delay. We can eliminate the delay without affecting our estimate for x[n]. So

- 1. Count the number of zeros at the front of h[n] = m
- Eliminate the first m elements of h[n], and eliminate the first m elements of y[n]
- 3. Now use the equation above on the shortened h[n] and y[n]

# Sensitivity to Noise

Let's consider what happens if some small amount of noise (E) is added to the first sample of the response (y[0]):

Estimate
$$w[0] = \frac{1}{h[0]} (y[0] + \varepsilon) \qquad \text{Noise} \qquad \frac{\varepsilon}{h[0]}$$

$$w[1] = \frac{1}{h[0]} (y[1] - w[0]h[1]) \qquad -\frac{\varepsilon}{h[0]} \frac{h[1]}{h[0]} \qquad \frac{\varepsilon}{h[0]} \frac{h[1]}{h[0]}$$

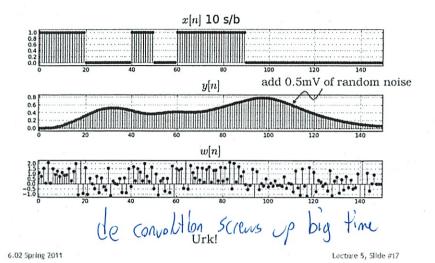
$$w[2] = \frac{1}{h[0]} (y[2] - w[1]h[1] - w[0]h[2]) \qquad -\frac{\varepsilon}{h[0]} \left(\frac{h[1]}{h[0]}\right)^2 - \frac{\varepsilon}{h[0]} \frac{h[2]}{h[0]} \qquad \text{or bigger than } \eta$$
stion: is the error growing as we compute more  $w[2]$ 

Question: is the error growing as we compute more w's

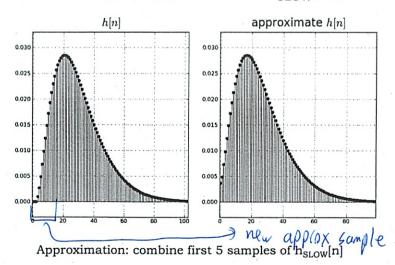
depends on h[0] and the ratios h[m]/h[0]. Small values of h[0] and (h[m]/h[0]) > 1 are troublesome...

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#### **Noisy Deconvolution Example**



# Example Approximate h<sub>SLOW</sub>[n]



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Stability Criterion Consensue Criteria

The notes have a derivation of the following sufficient (very conservative) condition that will ensure the stability of the deconvolver operating on a noisy y[n]:

$$\sum_{m=1}^{K} \left| \frac{h[m]}{h[0]} \right| < 1 \quad \text{or, perhaps more usefully} \quad \sum_{m=1}^{K} \left| h[m] \right| < \left| h[0] \right|$$

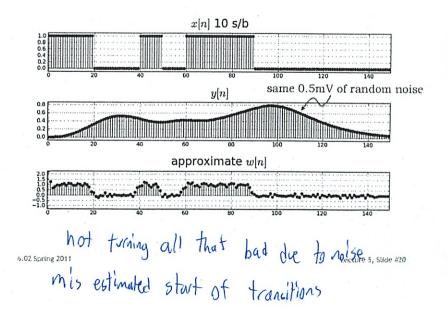
What if my h[n] doesn't meet this criterion?

Make a new "approximate" h[n] that does! Combine samples at the beginning of h[n] to make a bigger h[0].

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Lecture 5, Stide 418

# (Less) Noisy Deconvolution Example



To save your work, click the SAVE button at the bottom of this page. You can revisit this page, revise your answers and SAVE as often as you like.

To submit the assignment, click the SUBMIT button at the bottom of this page. YOU CAN SUBMIT ONLY ONCE. Once the assignment has been submitted, you can continue to view this page but will no longer be able to make any changes to your answers.

#### 6.02 Spring 2011: Plasmeier, Michael E.

#### PSet PS2

#### **Dates & Deadlines**

issued:

Feb-09-2011 at 00:00

due:

Feb-17-2011 at 06:00 (Feb-22-2011 at 06:00 with extension)

checkoff due: Feb-22-2011 at 06:00

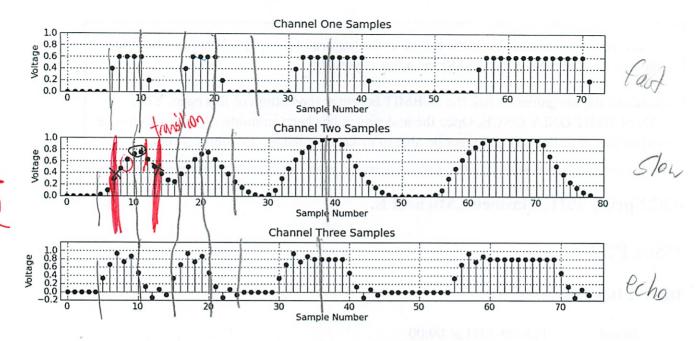
Help is available from the staff in the 6.02 lab (38-530) during lab hours — for the staffing schedule please see the <u>Lab Hours</u> page on the course website. We recommend coming to the lab if you want help debugging your code.

For other questions, please try the 6.02 on-line Q&A forum at Piazzza.

Your answers will be graded by actual human beings, so your answers aren't limited to machine-gradable responses. Some of the questions ask for explanations and it's always good to provide a short explanation of your answer.

#### Problem 1. Digitization Thresholds (3 points)

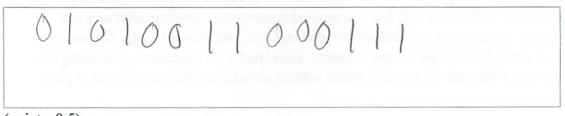
Consider three different channels (each of which happen to be linear and time-invariant, though you will not really need that fact to answer this question). The three channels are denoted, perhaps unoriginally, as *channel one*, *channel two*, and *channel three*. The input to each of the channels is a voltage sample sequence associated with transmitting bits using five voltage samples per bit, and the channel output voltage samples are shown in the three plots below.



Note: the voltage samples of channel one's response never rise above 0.6 volts; there are several cases where channel three's response is ~0.8 volts for several samples in a row. Please use these plots to answer all the parts of this question, and please keep in mind that *five* voltage samples are used to represent each bit.

A. What the shold voltage should be used for each of the channels?

B. What 14-bit sequence is being transmitted (the sequence is the same for each channel)? Enter your answer as a sequence of 0's and 1's.



(points: 0.5)

C. For each of the channel output sequences, please select a good set of five sample indices to use for detecting the first 5 received bits. For this case of a short sequence of bits, please assume that the distance between bit detection sample indices is equal to the number of samples per bit (which is five in this example). Please note that for each channel, there are several sets of bit detection sample indices that will lead to correct bit identification. However, it is a good strategy to use bit detection samples that are half-way between potential rising or falling transitions between bits (as seen at the

What does this mean?

Wrote in

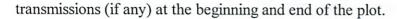
	t way, even if there are small timing errors, bits will stil be identified  () / m dd/p
Appropriate sample indicies for Channel 1:	
3	
(points: 0.5)	
Appropriate sa	ample indicies for Channel 2:
3	Slight delay in channel reciever needs to find transmit clock
(points: 0.5)	How man can have non causal-
Appropriate s	The has can have non causal - Joes not matter where true transition is ample indicies for Channel 3: I want clearest point
3	There when reclaing- transitions when it crosses the threshold
	when it crosses the threshold
(points: 0.5)	. 00 (1 1)

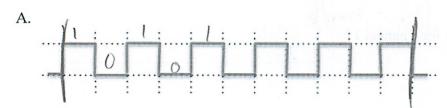
#### Problem 2. Clock recovery (3 points)

The symbol rate of a transmitter specifies how quickly successive symbols of the message are transmitted. For example, if the symbol rate is 1,000,000 symbols/second, each symbol would be transmitted for 1 microsecond. Typically the symbol rate is fixed.

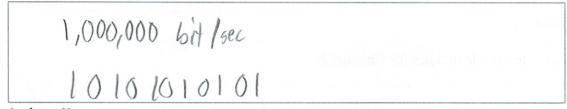
The receiver can deduce some information about the transmitter's symbol rate from the incoming waveform. Specifically, each transition in the waveform marks the start of a new symbol -- so each transition of the incoming waveform adds another clue to what the symbol rate must be.

In the following plots of received waveforms, the transmitter is sending sequences of binary symbols (i.e., either 0 or 1) at some fixed symbol rate, using 0V to represent 0 and 1V to represent 1. For each of the waveforms, indicate the <u>slowest</u> possible symbol rate that's consistent with the transitions in the waveform. The horizontal grid spacing is 1 microsecond (1e-6 sec). Your answer should be a number with the units of symbols/second. Using that symbol rate, decode the waveform into a sequence of 0s and 1s; ignore partial symbol

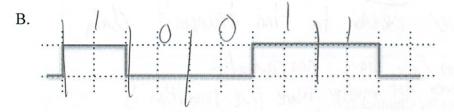




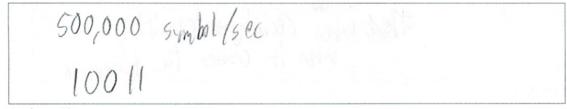
Slowest symbol rate and decoded bit string:



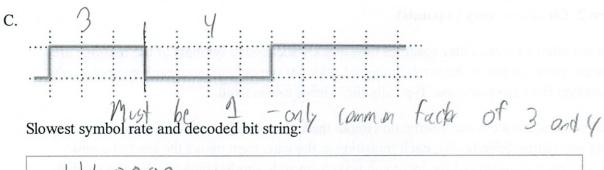
(points: 1)

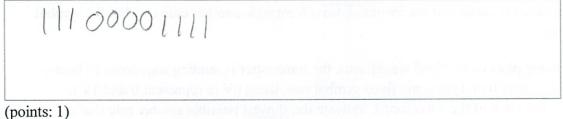


Slowest symbol rate and decoded bit string:



(points: 1)



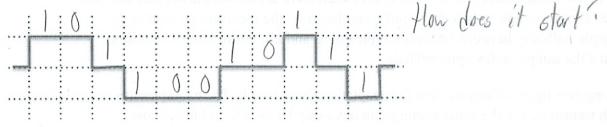


Problem 3. Differential encoding (1 point)

Some versions of Ethernet use an *MLT-3* (Multi-Level Transmit) encoding that transmits one of three voltage levels (-1, 0, +1). MLT-3 cycles through the voltage levels in the sequence -1, 0, +1, 0. To transmit a "1", the sender changes the voltage at the beginning of the clock cycle; to transmit a "0" it maintains the same voltage. Thus the information to be transmitted is encoded by differences (or lack thereof) in the voltage, not by the absolute voltage level itself. For example the +1 voltage may represent either a "0" or a "1" depending on whether the voltage changed at the beginning of the clock cycle or not. Using differences to transmit information rather than levels is called *differential encoding*.

More information can be found in the <u>MLT-3 Wikipedia article</u>. We encourage you to use the web to read up on the various technologies we mention in 6.02.

Please list the first 10 bits that can be decoded from the following MLT-3 waveform. The vertical grid lines show the beginning of each clock cycle.



First decoded 10 bits:

(points: 1)

Louisegrand

Jon't discegrand

Send email of marked wrong

#### Python Task 1. Clock and data recovery (8 points)

Useful download links:

PS2 tests.py -- test jigs for this assignment

PS2 1.py -- template file for this task

Your goal for this task is write a Python procedure that processes a sequence of received voltage samples to produce a sequence of received bits. The procedure is given samples\_per\_bit, the number of voltage samples the transmitter generated for each bit:

In a perfect world, it would be a trivial task to find the voltage sample in the middle of each bit transmission and use that to determine the transmitted bit. Just start the sampling index at samples\_per\_bit/2, then increase the index by samples\_per\_bit to move to the next voltage sample, and so on until you run out of voltage samples.

Alas, in the real world things are a bit more complicated. Both the transmitter and receiver

use an internal clock oscillator running at the sample rate to determine when to generate or acquire the next voltage sample. And they both use counters to keep track of how many samples there are in each bit. The complication is that the frequencies of the transmitter's and receiver's clock may not be exactly matched. Say the transmitter is sending 5 voltage samples per message bit. If the receiver's clock is a little slower, the transmitter will seem to be transmitting faster, e.g., transmitting at 4.999 samples per bit. Similarly, if the receiver's clock is a little faster, the transmitter will seem to be transmitting slower, e.g., transmitting at 5.001 samples per bit. This small difference accummulates over time, so if the receiver uses a static sampling strategy like the one outlined in the previous paragraph, it will eventually be sampling right at the transition points between two bits. And to add insult to injury, the difference in the two clock frequencies will change over time.

The fix is to have the receiver adapt the timing of it's sampling based on where it detects transitions in the voltage samples. The transition (when there is one) should happen half-way between the chosen sample points. Or to put it another way, the receiver can look at the voltage sample half-way between the two sample points and if it doesn't find a transition, it should adjust the sample index appropriately.

The following two figures illustrate how the adaptation should work. The examples use a low-to-high transition, but the same strategy can obviously be used for a high-to-low transition. The two cases differ in value of the sample that's half-way between the current sample point and the previous sample point. Note that a transition has occurred when two consecutive sample points represent different bit values.

Half-way sample

Current sample

Previous sample

Samples/bit

Half-way sample

Current sample

Previous sample

Samples/bit

Case 1: the half-way sample is the *same* as the current sample. In this case the <u>half-way</u> sample is in the same bit transmission as the current sample, i.e., we're sampling too late in the bit transmission. So when moving to the next sample, increment the index by samples per bit - 1 to move "back".

Case 2: the half-way sample is *different* than the current sample. In this case the half-way sample is in the previous bit transmission from the current sample, i.e., we're sampling too early in the bit transmission. So when moving to the next sample, increment the index by samples per bit + 1 to move

If there is no transition, simply increment the sample index by samples\_per\_bit to move to the next sample. This keeps the sampling position approximately right until the next transition provides the information necessary to make the appropriate adjustment.

If you think about it, when there is a transition, one of the two cases above will be true and so we'll be constantly adjusting the relative position of the sampling index. That's fine -- if the

is this the index or a dynamically longer shorter

plate Conteas

"forward".

relative position is close to correct, we'll make the opposite adjustment next time. But if a large correction is necessary, it will take several transitions for the correction to happen. To facilitate this initial correction, in most protocols the transmission of message begins with a training sequence of alternating 0- and 1-bits (remember each bit is actually samples per\_bit voltage samples long). This provides many transitions for the receiver's adaptation circuity to chew on.

Please write a Python procedure data\_recovery that takes a sequence of digitized voltage samples (i.e., voltage samples that have already been compared against a threshold and converted to "0" or "1") and returns a sequence of received bits. The procedure is also given samples\_per\_bit.

<u>PS2 1.py</u> is a template file for this task. The calls to <u>PS2\_tests.task1\_test(...)</u> invokes your data recovery function on several different digitized bit sequences. The first one is "perfect" in the sense that the receiver and transmitter clocks have exactly the same frequency and phase. The last two test sequences are more challenging, with the two clocks slowly drifting with respect to each other. To successfully recover the message bits your code must continually use the adaptation procedure described above.

```
# PS2 1.py -- template for task #1
import PS2 tests
# this routine simply samples periodically and does not
# do adaptation using the transitions.
def naive data recovery(digitized samples, samples per bit):
    l = len(digitized samples)
    result = [] # accumulate message bits here
                                                     So works for
    # start in middle of first message bit
    index = samples per bit/2
    # iterate through samples until end
    while index < 1:
        current = digitized_samples[index] (5 in the middle
        result.append(current)
                                        Inot same as current on paper?
        # move to middle of next bit
        index += samples per bit
    return result
# return sequence of message bits given sequence of received
# digitized samples and the number of samples transmitted
# for each bit. Handle the case where the receiver's clock
# is slightly faster or slower than the transmitter's.
def data_recovery(digitized_samples, samples per bit):
    # **** YOUR CODE HERE ****
   return []
# testing code. Do it this way so we can import this file
# and use its functions without also running the test code.
if name == ' main ':
      first attempt much worse - should it always move?
moving too much - did I follow instructions? 2/10/20
                                                                        2/10/2011 12:42 AM
```

# which function to test
ftest = naive\_data\_recovery

# start with a short test, no clock drift
PS2\_tests.task1\_test(ftest,'same',debug=True,nbits=16); Oh Samples\_partial

# clocks are drifting
PS2\_tests.task1\_test(ftest,'fast')
PS2\_tests.task1\_test(ftest,'slow')

When you're ready, please submit the file with your code using the field below.

File to upload for Task 1:

Browse...

Browse...

Most should be footing.

#### Python Task 2. 8b/10b decoding (11 points)

Useful download links:

<u>PS2\_2.py</u> -- template file for this task

This task investigates a digital signaling protocol that is used by many high-speed digital transmission systems (PCIe, Firewire, USB 3.0, SATA, ...). This protocol was developed to help address the following issues:

• If the transmitter is sending bits continuously and the receiver starts listening at some point in the transmission, there's no way to locate the start of multi-bit symbols unless there's a long pause in the transmission that the receiver can interpret as "no data" and thus synchronize with the data stream.

• For electrical reasons it's desirable to maintain DC balance on the wire, i.e., that on the average the number of 0's is equal to the number of 1's.

• Transitions in the received bits indicate the start of a new bit and hence are useful in synchronizing the sampling process at the receiver -- the better the synchronization, the faster the maximum possible symbol rate. So ideally one would like to have frequent transitions. On the other hand each transition consumes power, so it would be nice to minimize the number of transitions consistent with the synchronization constraint and, of course, the need to send actual data! In a signaling protocol where the transitions are determined by the message content may not achieve these goals.

To address these issues we can use an *encoder* at the transmitter to recode the message bits into a sequence that has the properties we want, and use a *decoder* at the receiver to recover the original message bits. Many of today's high-speed data links (e.g., PCI-e and SATA) use

training goes well

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Other one rever broke since he ISI in it

too few bits no

Passed!

an 8b/10b encoding scheme developed at IBM. The 8b/10b encoder converts 8-bit message symbols into 10 transmitted bits. There are 256 possible 8-bit words and 1024 possible 10-bit transmit symbols, so one can choose the mapping from 8-bit to 10-bit so that the the 10-bit transmit symbols have the following properties:

the Is are really thre-most be break

- the maximum run of 0's or 1's is five bits (i.e., there is at least one transition every five bits).
- at any given sample the maximum difference between the number of 1's received and the number of 0's received is six.
- special 7-bit sequences can be inserted into the transmission that don't appear in any consecutive sequence of encoded message bits, even when considering sequences that span two transmit symbols. The receiver can do a bit-by-bit search for these unique patterns in the incoming stream and then know how the 10-bit sequences are aligned in the incoming stream.

Here's how the encoder works: collections of 8-bit words are broken into small groups of words (16 words/group in this task) called a *packet*. The last packet is padded with NULLs if the message doesn't happen to be an exact multiple of 16 symbols. Each packet is sent using the following wire protocol:

- A sequence of alternating 0 bits and 1 bits are sent first (recall that each bit is multiple voltage samples). This sequence is useful for making sure the receiver's clock recovery machinery has synchronized with the transmitter's clock. These bits aren't part of the message; they're there just to aid in clock recovery.
- A 7-bit SYNC pattern -- either 0011111 or 1100000 where the least-significant bit (LSB) is shown on the left -- is transmitted so that the receiver can find the beginning of the packet. Note that the SYNC patterns are transmitted least-significant bit (LSB) first.
- The sixteen 10-bit transmit symbols are sent -- the packet data. Each 10-bit transmit symbol is determined by table lookup using the 8-bit word as the index. Note that all 10-bit symbols are transmitted least-significant bit (LSB) first.

Multiple packets are sent until the complete message has been transmitted. Note that there's no particular specification of what happens between packets -- the next packet may follow immediately, or the transmitter may sit idle for a while, sending, say, training sequence samples.

- at new packet

Write a Python procedure receive that takes a single argument -- a sequence of bits such as might be output by your code in Task #1 -- and returns the sequence of characters that were contained in the packet(s). Here's how to proceed:

 To determine where a packet starts in the received bit stream, look for instances of the SYNC patterns. You'll have to search bit-by-bit to detect where in the bit stream the packet starts. Once you've located an instance of the SYNC patterns, the next bit following the pattern is the LSB of the first 10-bit transmit symbol which encodes the first 8-bit message symbol in the packet. Don't forget that the SYNC patterns are appearing LSB first in the received bit stream.

• To decode each of the 16 data symbols, use PS2\_tests.bits\_to\_int to convert each 10-bit sequence into an integer (first bit of the sequence is the least-significant bit of the integer) and use it as index into the list lab1. table\_10b\_8b to retrieve the integer representation of the original 8-bit message symbol. If the table entry contains None just ignore that particular 10-bit symbol, otherwise use Python's built-in chr() function to convert the 8-bit integer into a character which can be appended to a list that is accummulating all the received characters.

tlan to convert to integer

- Once 16 data symbols have been decoded, the packet processing is done, so restart your search for a SYNC pattern, looking for the start of the next packet.
- The number of message bytes being transmitted is not necessarily multiple of 16. Please trim off the <u>NULL</u> bytes that might appear at the end of the last packet.

<u>PS2\_2.py</u> is a template file for this task. The call to PS2\_tests.task2\_test(...) invokes your receive function with an array of bits resulting from encoding a given message using an 8b/10b encoder.

totally worry!

```
# PS2 2.py -- template for task #2
import PS2 tests
# These are the two 7-bit sync patterns, LSB first
sync1 = [0,0,1,1,1,1,1]
sync2 = [1, 1, 0, 0, 0, 0, 0]
def receive 8b10b(received bits, packet size=16):
    Convert a sequence of bits transmitted by a 8b/10b encoder into a
    sequence of message bytes. The received bit sequence is made up
    of 16-byte packets preceded by one of the two 8b10b SYNC
    sequences. There may be other bits between packets (e.g., bits
    serving as a clock training sequence) -- these should be ignored
   by your function.
    11 11 11
    # **** YOUR CODE HERE ****
    return []
# testing code. Do it this way so we can import this file
# and use its functions without also running the test code.
if name == '_main__':
    # short message is just the word "test"
    PS2 tests.task2 test(receive_8b10b, PS2_tests.short_message)
```

# now try a longer message with multiple packets PS2 tests.task2 test(receive 8b10b, PS2 tests.long message)

When you're ready, please submit the file with your code using the field below.

File to upload for Task 2:

Browse...

(points: 8)

A. If the channel can support, say, a transmission rate of four million samples/second, what's the rate at which 8-bit symbols are produced by the receiver?

Look at actual 1484 - 8.4%

(points: 1)

B. What are the pros and cons of increasing the frequency at which sync patterns (the special 7-bit sequences discussed in step 3 above) are embedded in the transmit stream? One factor to consider: how does the rate at which sync patterns are inserted affect your answer to the above question?

(points: 1)

C. Suppose that a burst of noise corrupts the bit stream so that one of the bits is received incorrectly, e.g., a bit transmitted as 0 is received as 1. What effect does this have on the decoding process? Consider corrupted message bits separately from corrupted sync patterns bits.

(points: 1)

You can save your work at any time by clicking the Save button below. You can revisit this page, revise your answers and SAVE as often as you like.

Save

To submit the assignment, click on the Submit button below. YOU CAN SUBMIT ONLY ONCE after which you will not be able to make any further changes to your answers. Once an assignment is submitted, solutions will be visible after the due date and the graders will have access to your answers. When the grading is complete, points and grader comments will be shown on this page.

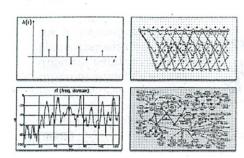
Submit

P-Set 2 Chechett

-All Misread threshhold Voltage Son Grando ! In Congress ! -Sample indicies So the T was [0,1,0,1,0,1,0,1] What pattern is that ??? Every 16 Index is a bit wrong So it was Words = 0 Words < 16 15 Should have been was getting such after Can use for loop for ; = [0:16]

417

Absent



INTRODUCTION TO EECS II

# DIGITAL COMMUNICATION SYSTEMS

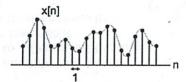
# 6.02 Spring 2011 Lecture #6

- · Mean, power, energy, SNR
- · Metrics for random processes
- Normal PDF, CDF
- Calculating p(error), BER vs. SNR

6.02 Spring 2011

Lecture 6, Slide #1

## Definition of Mean, Power, Energy



Some interesting statistical metrics for x[n]:

Slides 3-16 derived from 6.02 slides by

avg value

Mean:  $\mu_{x} = \frac{1}{N} \sum_{n=1}^{N} x[n]$   $Power: P_{x} = \frac{1}{N} \sum_{n=1}^{N} x[n]^{2}$   $\tilde{P}_{x} = \frac{1}{N} \sum_{n=1}^{N} (x[n] - \mu_{x})^{2}$   $\tilde{E}_{x} = \sum_{n=1}^{N} (x[n] - \mu_{x})^{2}$   $\tilde{E}_{x} = \sum_{n=1}^{N} (x[n] - \mu_{x})^{2}$ 

In analyzing our systems, we often use metrics

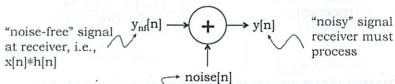
6.02 Spring 2011 where the mean has been factored out.

# Bad Things Happen to Good Signals

Noise, broadly construed, is any change to the signal from its expected value, x[n]\*h[n], when it arrives at the receiver.

We'll look at additive noise and assume the noise in our systems is independent in value and timing from the nominal signal, y<sub>n</sub>[n], and that the noise can be described by a random variable with a known probability distribution.

tor The awer changel We'll model the received signal as  $y_{nf}[n] + noise[n]$ .



Independent random noise

6.02 Spring 2011

Lecture 6, Slide #2

10000000000 1000000000

Seperate: Intertenence - independent of 0 or - I'lle Analog TV multi-path

Signal-to-Noise Ratio (SNR)

The Signal-to-Noise ratio (SNR) is useful in judging the impact of noise on system performance: has bit ecros cate

 $SNR = \frac{\tilde{P}_{signal}}{\tilde{p}} \quad \text{Cross}$ 

SNR is often measured in decibels (dB):

3db is a factor of 2

100000000 70 10000000 1000000 50 100000 10000 30 1000 20 100 10 10 0 1 -10 0.1 -20 0.01 0.001 -40 0.0001 -50 0.000001 -60 0.0000001 -70 0.00000001 -80 0.000000001 -90 0.0000000001

10logX

100

-100

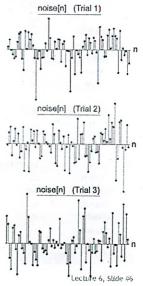
0.00000000001 Lecture 6, Stide #4

6.02 Spring 2011

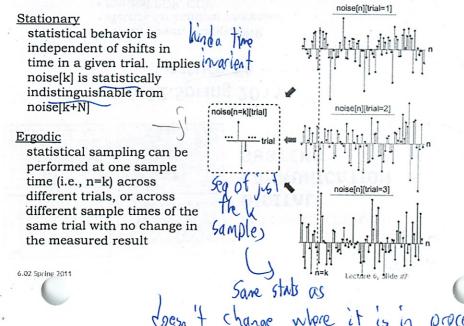
# **Analysis of Random Processes**

- Random processes, such as noise, take on different sequences for different trials
  - Think of trials as different measurement intervals from the same experimental setup (as in lab)
- For a given trial, we can apply our standard analysis tools and metrics
   mean and power calculations, etc...
- When trying to analyze the ensemble (i.e., all trials) of possible outcomes, we find ourselves in need of new tools and metrics

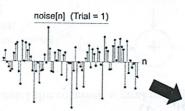
Want math model to 5.02 Spring 2011 Symmarize all the trials



2 Properfies
Stationary and Ergodic Random Processes



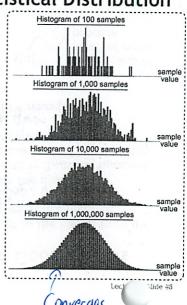
**Experiment to See Statistical Distribution** 



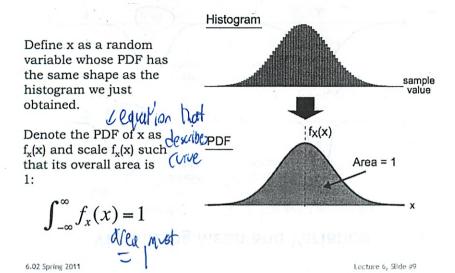
Experiment: create histograms of sample values from trials of increasing lengths.

Assumption of stationarity implies histogram should converge to a shape known as a probability density function (PDF)

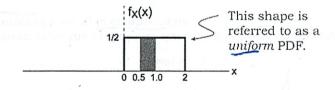
6.02 Spring 2011



# Formalizing the PDF Concept



# **Example Probability Calculation**



Verify that overall area is 1:

$$\int_{-\infty}^{\infty} f_x(x) dx = \int_{0}^{2} 0.5 \, dx = 1$$

Probability that x takes on a value between 0.5 and 1:

$$p(0.5 \le x \le 1.0) = \int_{0.5}^{1} 0.5 \, dx = 0.25$$

Can't read # Lecture 6, Silde #11

## Formalizing Probability

The probability that random variable  $\underline{x}$  takes on a value in the range of  $x_1$  to  $x_2$  is calculated from the PDF of  $\underline{x}$  as:

$$p(x_1 \le x \le x_2) = \int_{x_1}^{x_2} f_x(x) dx$$

$$(an harp PDF) | f_x(x) | f_x$$

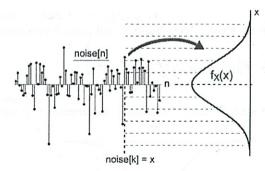
Note that probability values are always in the range of 0 to 1.

.

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Lecture 6, Slide #10

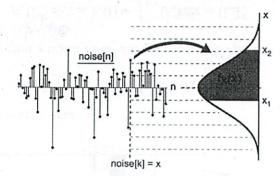
## **Examination of Sample Value Distribution**



Assumption of ergodicity implies the value occurring at a given time sample, noise[k], across many different trials has the same PDF as estimated in our previous experiment of many time samples and one trial.

Thus we can model noise[k] using the random variable x.

### **Probability Calculation**



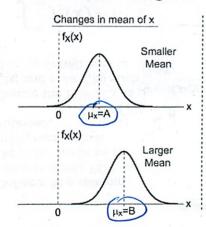
In a given trial, the probability that noise[k] takes on a value in the range of  $\mathbf{x}_1$  to  $\mathbf{x}_2$  is computed as

$$p(x_1 \le x \le x_2) = \int_{x_1}^{x_2} f_x(x) \, dx$$

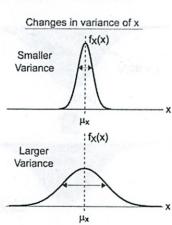
6.02 Spring 2011

Lecture 6, Slide #13

# Visualizing Mean and Variance

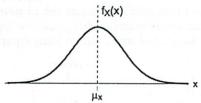


Changes in mean shift the center of mass of PDF



Changes in variance narrow or broaden the PDF (but area is always equal to 1)

#### Mean and Variance



The *mean* of a random variable x,  $\mu_x$ , corresponds to its average value and computed as:

$$\mu_x = \int_{-\infty}^{\infty} x f_x(x) dx$$
 mean shall be 12 for

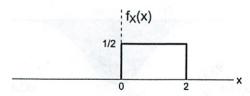
The *variance* of a random variable x,  $\sigma_x^2$ , gives an indication of its variability and is computed as:

and is computed as:
$$\sigma_x^2 = \int_{-\infty}^{\infty} (x - \mu_x)^2 f_x(x) dx$$
Compare with power calculation

6.02 Spring 2011

Lecture 6, Slide #14

# **Example Mean and Variance Calculation**



Mean:

$$\mu_x = \int_{-\infty}^{\infty} x f_x(x) dx = \int_{0}^{2} x \frac{1}{2} dx = \frac{1}{4} x^2 \Big|_{0}^{2} = 1$$

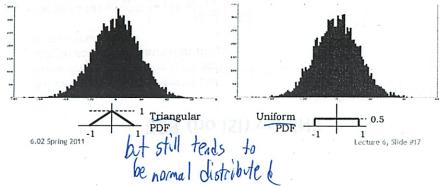
Variance:

$$\sigma_x^2 = \int_{-\infty}^{\infty} (x - \mu_x)^2 f_x(x) dx = \int_{0}^{2} (x - 1)^2 \frac{1}{2} dx = \frac{1}{6} (x - 1)^3 \Big|_{0}^{2} = \frac{1}{3}$$

#### Noise on a Communication Channel

The net noise observed at the receiver is often the sum of many small, independent random contributions from the electronics and transmission medium. If these independent random variables have finite mean and variance, the Central Limit Theorem says their sum will be *normally* distributed.

The figure below shows the histograms of the results of 10,000 trials of summing 100 random samples draw from [-1,1] using two different distributions.

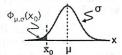


# Cumulative Distribution Function

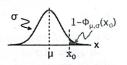


When analyzing the effects of Gaussian noise, we'll often want to determine the probability that the noise is larger or smaller than a given value  $x_0$ . From slide #10:

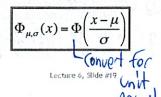
$$p(x \le x_0) = \int_{-\infty}^{x_0} \frac{1}{\sqrt{2\pi\sigma^2}} e^{\frac{-(x-\mu)^2}{2\sigma^2}} dx = \Phi_{\mu,\sigma}(x_0)$$



$$p(x \ge x_0) = \int_{x_0}^{\infty} \frac{1}{\sqrt{2\pi\sigma^2}} e^{\frac{-(x-\mu)^2}{2\sigma^2}} dx = 1 - \Phi_{\mu,\sigma}(x_0)$$



Where  $\Phi_{\mu,\sigma}(x)$  is the cumulative distribution function (CDF) for the normal distribution with mean  $\mu$  and variance  $\sigma^2$ . The CDF for the unit normal is usually written as just  $\Phi(x)$ .



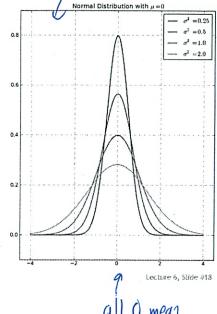
better in color

The Normal Distribution

A normal or Gaussian distribution with mean u and variance  $\sigma^2$  has a PDF described by

$$f_x(x) = \frac{1}{\sqrt{2\pi\sigma^2}} e^{\frac{-(x-\mu)^2}{2\sigma^2}}$$

The normal distribution with  $\mu$ =0 and  $\sigma$ <sup>2</sup>=1 is called the "standard" or "unit" normal.

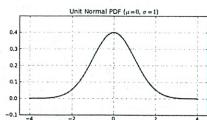


6.02 Spring 2011

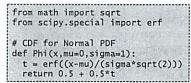
# $\Phi(x) = CDF$ for Unit Normal PDF

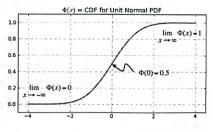
Most math libraries don't provide  $\Phi(x)$  but they do have a related function, erf(x), the error function:

$$\operatorname{erf}(x) = \frac{2}{\sqrt{\pi}} \int_0^x e^{-t^2} dt$$



For Python hackers:





Bit Error Rate fraction of # of bits The bit error rate (BER), or perhaps more appropriately the bit error ratio, is the number of bits received in error divided by the total number of bits transferred. We can estimate the BER by calculating the probability that a bit will be incorrectly received due to noise.

Using our normal signaling strategy (0V for "0", 1V for "1"), on a noise-free channel with no ISI, the samples at the receiver are either 0V or 1V. Assuming that 0's and 1's are equally probable in the transmit stream, the number of OV samples is approximately the same as the number of 1V samples. So the mean and power of the noise-free received signal are

$$\mu_{y_{nf}} = \frac{1}{N} \sum_{n=1}^{N} y_{nf}[n] = \frac{1}{N} \frac{N}{2} = \frac{1}{2}$$

$$\tilde{P}_{y_{nf}} = \frac{1}{N} \sum_{n=1}^{N} \left( y_{nf}[n] - \frac{1}{2} \right)^{2} = \frac{1}{N} \sum_{n=1}^{N} \left( \frac{1}{2} \right)^{2} = \frac{1}{N} \frac{N}{4} = \frac{1}{4}$$

6.02 Spring 2011

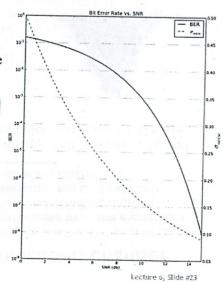
# BER (no ISI) vs. SNR

We calculated the power of the noise-free signal to be 0.25 and the power of the Gaussian noise is its variance, so

SNR (db) = 
$$10 \log \left( \frac{\tilde{P}_{signal}}{\tilde{P}_{noise}} \right) = 10 \log \left( \frac{0.25}{\sigma^2} \right)$$

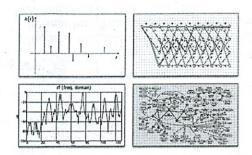
Given an SNR, we can use the formula above to compute  $\sigma^2$ and then plug that into the formula on the previous slide to compute p(bit error) = BER.

The BER result is plotted to the right for various SNR values.



# p(bit error)

Now assume the channel has Gaussian noise with  $\mu$ =0 and variance  $\sigma^2$ . And we'll assume a digitization threshold of 0.5V. We can calculate the probability that noise[k] is large enough that  $y[k] = y_{nf}[k] + noise[k]$  is received incorrectly:



2/23

INTRODUCTION TO BECS II

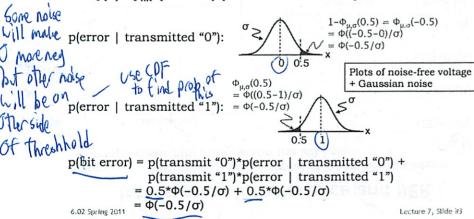
# DIGITAL COMMUNICATION SYSTEMS

# 6.02 Spring 2011 Lecture #7

- · ISI and BER
- · Choosing Vth to minimize BER

Form the point of the property of the property

Now assume the channel has Gaussian noise with  $\mu$ =0 and variance  $\sigma^2$ . And we'll assume a digitization threshold of 0.5V. We can calculate the probability that noise[k] is large enough that  $y[k] = y_{nf}[k] + \text{noise}[k]$  is received incorrectly:



#### Bit Error Rate

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$$\text{Power} \tilde{P}_{y_{nf}} = \frac{1}{N} \sum_{n=1}^{N} \left( y_{nf}[n] - \frac{1}{2} \right)^{2} = \frac{1}{N} \sum_{n=1}^{N} \left( \frac{1}{2} \right)^{2} = \frac{1}{N} \frac{N}{4} = \frac{1}{4}$$
Lecture 2011

the some value of informst Tells us

P(noise (13 < Xo)

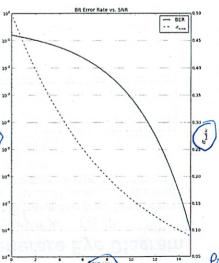
take (OF ) BER (no ISI) vs. SNR

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Given an SNR, we can use the formula above to compute  $\sigma^2$  and then plug that into the formula on the previous slide to compute p(bit error) = BER.

The BER result is plotted to the right for various SNR values.



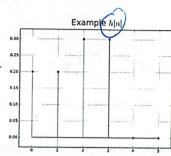
Lecture 7, Slide 44

6.02 Spring 2011

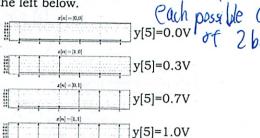
# Intersymbol Interference and BER

Consider transmitting a digital signal at 3 samples/bit over a channel whose h[n] is shown on the left below.

h[] longer



6.02 Spring 2011

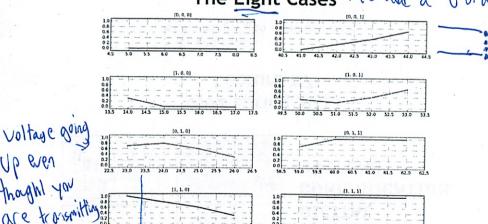


Convolution

The figure on the right shows that at end of transmitting each bit, the voltage y[n] corresponding to the last sample in the bit will have one of 4 values and depends only on the current bit and previous bit. 6.02 Spring 2011 Lecture 7, Slide #5

Start at above

The Eight Cases The add a ( ora )

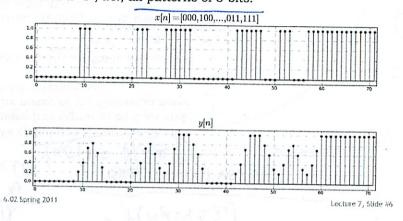


The first two bits determine the starting voltage, the third bit is the test bit. The plots show the response to the test bit. All bits transmitted at 3 samples/bit.

lets of lad crerge left from previous bit

# Test Sequence to Generate Eye Diagram

So a more complex case If we want to explore every possible transition over the channel, we'll need to consider transitions that start at each of the four voltages from the previous slide, followed by the transmission of a "0" and a "1", i.e., all patterns of 3 bits.



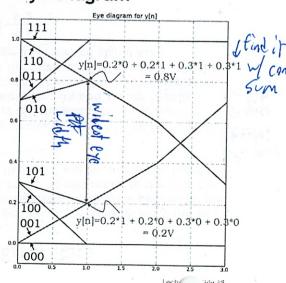
# Plot the Eye Diagram

To make an eye diagram, overlay the eight plots in a single diagram.

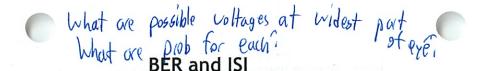
We can label the plot with the bit sequence that generated each line.

The widest part of the eve comes at the first sample in each bit.

Using the convolution sum we can compute the width of the eye = 0.8-0.2 = 0.6V



6.02 Spring 2011



From the diagram on the previous slide, if we sample at the widest point in the eye, the noise-free signal will produce one of four possible samples:

- 1. 1.0V if last two bits are "11"
- 2. 0.8V if last two bits are "10"
- 3. 0.2V if last two bits are "01"
- 4. 0.0V if last two bits are "00"

Since all the sequences are equally likely, the probability of observing a particular voltage is 0.25.

Let's repeat the calculation of p(bit error), this time on a channel with ISI, assuming Gaussian noise with a variance of  $\sigma^2$  (from now on we'll assume that Gaussian noise has a mean of 0). Again, we'll use a digitization threshold of 0.5V.

6.02 Spring 2011

Lecture 7, Slide #9

# p(bit error) with ISI cont'd.

$$p(bit error) = p(11)*p(error | 11) + p(10)*p(error | 10) + p(01)*p(error | 01) + p(00)*p(error | 00)$$

$$= 0.25*\Phi(-0.5/\sigma) + 0.25*\Phi(-0.3/\sigma) + 0.25*\Phi(-0.5/\sigma)$$

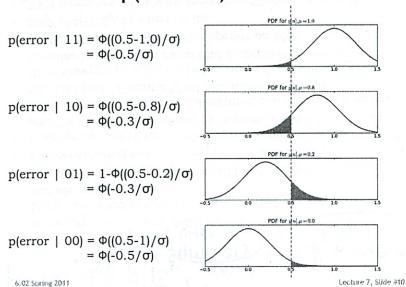
$$= 0.5*\Phi(-0.5/\sigma) + 0.5*\Phi(-0.3/\sigma)$$

Suppose  $\sigma=0.25$ . Compare the formula above to the formula on slide #3 to determine what ISI has cost us in terms of BER:

, (

Lecture 7; Slide #11

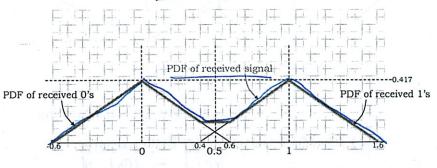
## p(bit error) with ISI



# Want Thresh bld to minimize error rate Choosing Vth

We've been using 0.5V as the digitization threshold – it's the voltage half-way between the two signaling voltages of 0V and 1V. Assuming that the probability of transmitting 0's and 1's is the same, this choice minimizes the BER. Let's see why...

Suppose noise has a triangular distribution from -0.6V to 0.6V:



Minimizing BER Shaded area = p(bit error) with  $V_{th} = 0.5V$ Now move V<sub>th</sub> slightly. What happens to BER? increase in p(bit error) 6.02 Spring 2011 Lecture 7, 5lide #13

Could move this trlangle to Channel Model Summary and have O error Causaian is never at off x[n] $h_{chan}[n]$ - Probject gets smaller Typically: Gaussian with variance  $\sigma^2$ ,  $\mu=0$ Noise PDF un experiments

The Good News: Using this model we can predict ISI and

compute the BER given the SNR or  $\sigma$ . Often referred to as the AWGN (additive white

Gaussian noise) model.

experients will of energy Slightly mdels

Lecture 7, 5lide #15

The Bad News:

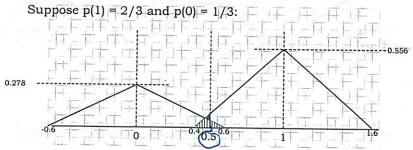
Unbounded noise means BER ≠ 0, i.e., we'll have bit errors in our received message. How

do we fix this? Our next topic!

IN

aggressivy moving through the formulas

# M Not = Prob Minimizing BER when p(0)≠p(1)



If we leave V<sub>th</sub> at 0.5V, we can see that p(bit error) will be larger than if we moved the threshold to a lower voltage. p(bit error) will be minimized when threshold is set at intersection of the two PDFs.

Question: with triangular noise PDF, can you devise a signaling protocol that has p(bit error) = 0?

6.02 Spring 2011 Legture 7, Stide #14 it send more 15, build a recieser that

- Noise-free channels modeled as LTI systems
- LTI systems are completely characterized by their unit sample response h[n]
- Series LTI:  $h_1[n]*h_2[n]$ , parallel LTI:  $h_1[n]+h_2[n]$
- Use convolution sum to compute y[n]=x[n]\*h[n]
- Intersymbol interference when number of samples per bit is smaller than number of non-zero elements in h[n]
- In a noise-free context, deconvolution can recover x[n] given y[n] and h[n]. Potentially infinite information rate!
- With noise y[n] = y<sub>nf</sub>[n]+noise[n], noise described by Gaussian distribution with zero mean and a specified variance
- Bit Error Rate = p(bit error), depends on SNR
- BER =  $\Phi(-0.5/\sigma)$  when no ISI
- BER increases quick with increasing ISI (narrower eye)
- Choose V<sub>th</sub> to minimize BER

$$\mathcal{J} = \mathbb{R} = (-\infty, \infty)$$

Tales all subsets of the universe

For each subset, probability assigns it a value

Rules

3. 
$$e_{1}, e_{2} = e_{1} / e_{2} = \emptyset$$

$$P(e_{1} \vee e_{2}) = MADP(e_{1}) + P(e_{2})$$

the back

(2) Gaussian/Normal XNN(yu, o2)

 $\times N(\mu, \sigma^2)$   $\mu \in \mathbb{R}$   $\sigma^2 \ge 0$ 

 $P(\chi \neq a) = \int_{\sqrt{2\pi\sigma^2}}^{\infty} \frac{1}{2\sigma^2} \exp\left(-\frac{(\chi \cdot u)^2}{2\sigma^2}\right) dx$ 

N/(0,0-2)

=  $\mathbb{Q}$  (a)

P(Y+MEa)

 $P(Y \angle a - M) \times = Y + M$ 

= Y+A (6) = P(YEb)

4:07 M2~N(

# 2~N(011)

 $\begin{array}{ll}
X \sim PDF & P(x=x) = f(x)dx \\
P(x \leq x) = \int_{x}^{x} f(x)dy
\end{array}$ 

 $E[x] = \int_{-\infty}^{\infty} x f(x) dx$   $Var(x) = E[(x - F(x))^{2}]$ 

$$Y = X + \mu$$

$$E[Y] = E[X] + \mu$$

$$Y = \sigma^{2}$$

$$Var(X) = \sigma^{2} Var(2)$$

$$X = \sigma^{2} + \mu \mu$$

$$V(x) = \sigma^{2} Var(2)$$

$$X = \sigma^{2} + \mu$$

$$X$$

But what it noise is added? 717 = 417 + N[] Deconvoling is screwed up by roise bet  $\approx 17$  $X-x=\widetilde{n}$ De convolution in a linear operation Think of no ise as domain grassian dist Use that to to deconvolve

Tutorial Problem

Suppose noise added to 0 or 1

"N(0,0)

adist of actual 1s

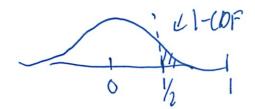
Reviewe = In + Noise

Threshood

What are the chances stiff scrows up

Po-21 0 -> 1

Sent recieved



Pi-20 1 -> O sent reviewed

BER = 
$$P(0) \cdot P_{0 \to 1} + P(1) \cdot P_{1 \to 0}$$
  
 $15 \cdot P_{0 \to 1} + 0.5 \cdot P_{1 \to 0}$   
=  $P_{0 \to 1} = P_{1 \to 0}$  same, symptric

$$P_{0 \to 1} = P(Rec 7 \frac{1}{2}) | E_{n \to 0})$$

$$= P(Noise 7 \frac{1}{2})$$

$$= P(O_{Noise} 2 7 \frac{1}{2})$$

$$= P(27 \frac{1}{20 \text{ Noise}})$$

Noise  $\sim N(0, O_{Noise})$ Noise  $\sim N(0, O_{Noise})$ 

Then what is - 2? Same So do 1-96)

$$V\left(-74\frac{V}{20\text{ Noise}}\right) = 0$$
  $\left(\frac{V}{-20\text{ Noise}}\right) = 1-0$   $\left(\frac{V}{20\text{ Noise}}\right)$ 

$$P_{1\rightarrow0} = P(\text{Rec} < \frac{V}{2} | \text{In} V)$$

$$= P(\text{Noise} \angle \frac{V}{2})$$

$$= 1 - 0 \left( \frac{V}{20 \, \text{Noine}} \right)$$

So BER = 
$$1 - 9 \left( \frac{V}{20 \text{ Noise}} \right)$$

(I get all the concepts from lecture, but the notation he uses is weird) When = # 0 or 1 pt threshold in middle When VT, this quantity of 1-0(V) V is the voltage I is set sent at Ly the l'afference from O which is sent at O To calculate o - Aut send test Signals

- try to measure how much signal has changed

Its celative SNR catio that matters It >0, can transmit something But not very efficiently To save your work, click the SAVE button at the bottom of this page. You can revisit this page, revise your answers and SAVE as often as you like.

To submit the assignment, click the SUBMIT button at the bottom of this page. YOU CAN SUBMIT ONLY ONCE. Once the assignment has been submitted, you can continue to view this page but will no longer be able to make any changes to your answers.

6.02 Spring 2011: Plasmeier, Michael E.

#### PSet PS3

#### **Dates & Deadlines**

issued:

Feb-16-2011 at 00:00

due:

Feb-24-2011 at 06:00 (Mar-01-2011 at 06:00 with extension)

checkoff due: Mar-01-2011 at 06:00

Help is available from the staff in the 6.02 lab (38-530) during lab hours -- for the staffing schedule please see the <u>Lab Hours</u> page on the course website. We recommend coming to the lab if you want help debugging your code.

For other questions, please try the 6.02 on-line Q&A forum at Piazzza.

Your answers will be graded by actual human beings, so your answers aren't limited to machine-gradable responses. Some of the questions ask for explanations and it's always good to provide a short explanation of your answer.

Each question in this problem set deals with a causal linear time-invariant (LTI) system. We'll be working with discrete time samples and the index for the sample sequences is always an integer. For each question, assume that:

- The sequence x[n] is the input to a causal LTI system and x[n] = 0 for n < 0.
- The sequence h[n] is the unit-sample response of the causal LTI system.
- The sequence y[n] is the output of the causal LTI system described by h[n], with x[n] as the input.
- $\delta[n]$  is the unit-sample sequence:  $\delta[n] = 1$  when n = 0, and zero otherwise.
- u[n] is the unit-step sequence: u[n] = 1 when  $n \ge 0$ , and zero otherwise.

You may find it helpful in solving the problems to sketch out the sequences described mathematically below.

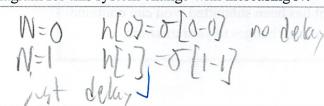
1 of 15

)-> y U=unit step

#### Problem 1. (2 points)

Suppose  $h[n] = \delta[n-N]$ , i.e., h[n] = 1 for n = N, and zero otherwise. How will an eye

diagram for this system change with increasing N?



(points: 1)

#### Problem 2. (1.5 points)

Suppose

unit step

x[n] = u[n] and

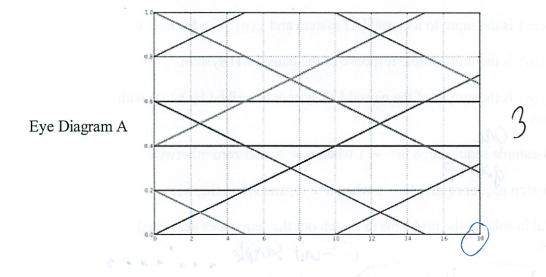
 $h[n] = n \text{ for } 0 \le n \le 4, \text{ and zero otherwise}$ 

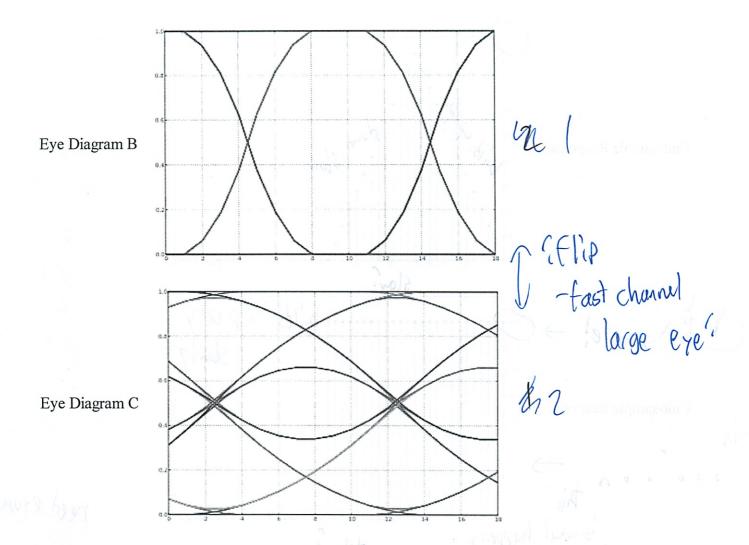
Determine y[2], y[3], and y[20]

(points: 1.5)

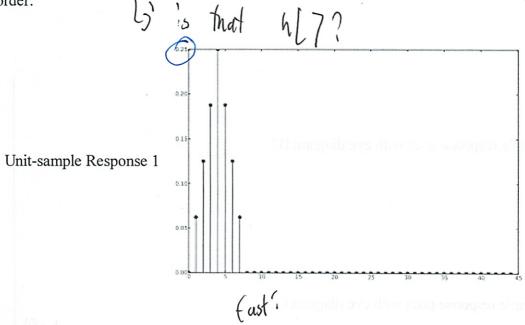
# Problem 3. (1.5 points)

Consider the following three eye diagrams generated by applying a random sequence of 200 bits, with 10 samples/bit, to three different causal LTI systems:

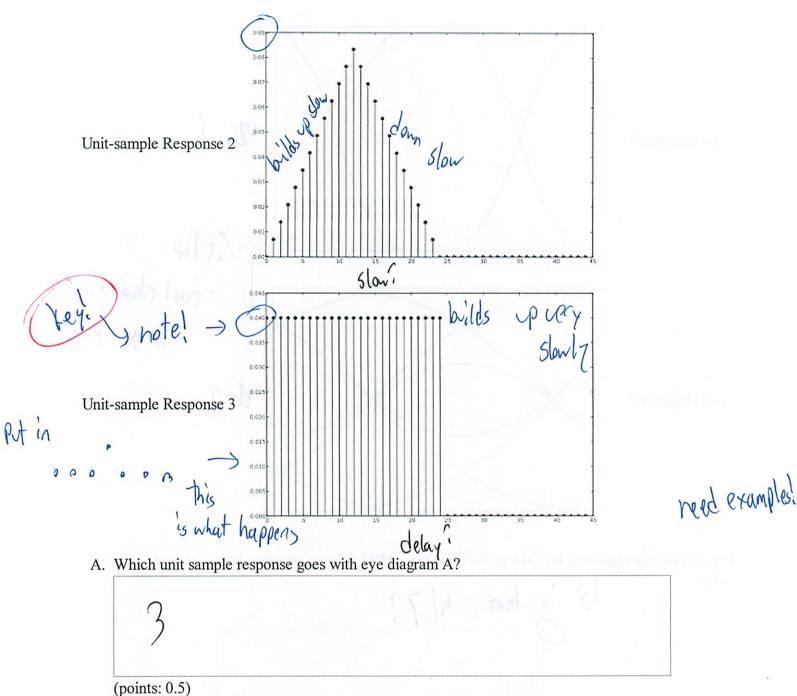




The unit sample responses for each of the three causal LTI systems are given below, in some order:



now top I deguin



B. Which unit sample response goes with eye diagram B?

(points: 0.5)

C. Which unit-sample response goes with eye diagram C?

WP' exeputtern

non try 1 again

(points: 0.5)

Problem 4. (1 point)

Suppose the only nonzero values of  $\frac{1}{x}$ 

x[3] = -2

If  $h[n] = (1/2)^n$  for  $n \ge 0$ , what is the maximum value of y[n] and for what value of n does y[n] achieve its maximum?

(points: 1)

### Problem 5. (1 point)

Suppose

 $x[n] = (1/2)^n \text{ for } n \ge 0,$ 

y[0] = 4, and

y[1] = 1

If the LTI system is causal, what are the values of h[0] and h[1]?

(points: 1)

Problem 6. (2 points) Suppose the only nonzero values of a unit-sample response are

h[0] = 1

h[1] = 2

h[2] = -1

h[3] = 1/2

$$h[4] = 1/2$$
  
 $h[n] = 0 \text{ for } n > 4$ 

A. What are the values of the unit-step response s[n]?

See paper
(points: 1)

B. Suppose the unit-sample response is altered, and only h[5] is changed. If the value of the unit-step response in the limit as  $n\rightarrow\infty$  is 5, what is the value of h[5]?

(points: 1)

### Problem 7. (1 point)

Suppose the unit-step response, s[n], is given by

$$s[0] = 0$$
  
 $s[1] = 0.1$   
 $s[2] = 0.5$   
 $s[3] = 0.9$   
 $s[n] = 1.0$  for  $n \ \&g3 4$ 

(expanse)

Determine h[n] for  $0 \le n \le 10$ .

See Suet

(points: 1)

### Problem 8. (3 points)

Suppose a linear time-invariante channel has a unit sample response:

$$h[n] = 0.5$$
  $n = 0, 1, 2$   
 $h[n] = 0$  otherwise

If the output of the channel is

$$y[n] = 1$$
  $n = 0, 1$ 

y[n] = 0

otherwise

getting cepetitive Please determine the value of the first three voltage samples of the input to the channel:

See sheet

(points: 3)

Python Task 1: Unit Sample Response of a Channel (1 point)

Useful download links:

x[0], x[1], and x[2].

PS3 tests.py -- test jigs for this assignment

PS3 1.py -- template file for this task

In lecture we saw that the unit sample response completely characterizes the effect of a channel on sequences of samples that pass through the channel. So, determining the unit sample response of a channel is a handy way to model a channel. The unit sample response is also useful in figuring out how to engineer the receiver to compensate for a channel's less desirable effects.

There is a small complication: formally, the unit sample response (USR) extends for an infinite number of samples. For all practical purposes, the USR will be so close to zero after a modest number of samples, that a USR-based model of a channel will still be quite accurate even if we truncate the response to a finite number of samples (as long as the samples beyond the truncation point are sufficiently close to zero). To find a reasonable point to truncate a USR, note that you'll need to start with a USR that is much longer than the truncated USR.

For this task, write a Python function unit\_sample\_response that returns a truncated sequence of samples that corresponds to the unit sample response of the specified channel:

The mychannel argument will be a channel instance which you can call like a final passing in a sequence of voltage samples representing the input sequence. It wis a sequence of voltage samples representing the channel's response to that input The mychannel argument will be a channel instance which you can call like a function, passing in a sequence of voltage samples representing the input sequence. It will return a sequence of voltage samples representing the channel's response to that input.

> max\_length=1000 sets an upper limit on the number of samples to be used to represent a unit sample response.

> tol=0.005 sets the accuracy criterion used for truncating the unit sample response. To perform the truncation, we first need determine the largest magnitude sample in the response, call it h\_max. If to1 > 0, the response sequence should be truncated to h[0:K] (using Python slice notation) if the magnitude of every sample in h[K:] (the part of h we're eliminating) is smaller than to1\*h\_max and the magnitude of h[K-1] is

> > what is k

≥ tol\*h\_hax. If tol = 0, the response sequence should be of length max length.

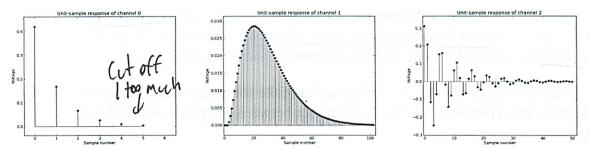
PS3 1.py is a template file for this task:

```
Ceturn
# template for PSet #3, Python Task #1 Ddn /
import numpy
import matplotlib.pyplot as p
                                     Worder
import PS3 tests
                                                                           max voltage
# arguments:
    channel -- instance of the PS3 tests.channel class
   max length -- integer
                                       read - read to pass in
  tol -- float
# return value:
    a voltage sequence of length max length or less
def unit_sample_response(channel, max_length=1000, tol=0.005): in/
    Returns sequence of samples that corresponds to the unit-sample
    response (USR) of the channel.
    channel is function you call with an input sequence of voltage
    samples. It returns a sequence of voltage values, which is the
    response of the channel to that input.
    max length sets the length of the test waveform to be sent through
    the channel.
                     What is this
    The voltage sample sequence representing the unit sample response
    should truncated to the smallest length such that the maximum
    magnitude of the truncated samples are smaller than tol times the
    value that is the largest magnitude sample in the unit sample
    response. Please make sure that if tol=0, the return USR should
    have length equal to max length.
                                          Once it dips below this
    pass # Your code here.
if name_ == '_ main__':
    # Create the channels (noise free)
    channel0 = PS3_tests.channel(channelid='0')
    channel1 = PS3 tests.channel(channelid='1')
    channel2 = PS3 tests.channel(channelid='2')
    # plot the unit-sample response of our three virtual channels
    PS3 tests.plot USR(unit sample response(channel0), '0')
    PS3 tests.plot USR(unit sample response(channel1), '1')
    PS3 tests.plot USR(unit sample response(channel2), '2')
                                      World by very slow
    p.show()
```

The test code uses your function to compute the unit sample response of our three channels and plots the result. If your function is working correctly you should see something like as a Variable

interesting how slow that went

and how much Easter I made it



Please save and upload the three plots of the unit sample responses. To save a plot, click on the floppy disk icon in the plot window and in the dialog box that pops up, enter a name to use for the saved image.

Upload figure for USR of channel 0:		Browse
(points: 0.33)		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Upload figure for USR of channel 1:		Browse
(points: 0.33)		
Upload figure for USR of channel 2:		Browse
(points: 0.34)	, , , ,	. 01

Communication engineers would call Channel 0 a "fast" channel, Channel 1 a "slow" channel and Channel 2 a "ringing" channel, referring to the shape of the channel's unit step response. Looking at the unit sample responses that you graphed, briefly describe what it is about the response that causes the channel to be fast, slow or ringing.

fairly obvious . - 
(points: 1)

### Python Task 2: Predicting channel response using h[n] (1 point)

Useful download link:

PS3 2.py -- template file for this task

We also saw in lecture that given the unit sample response we can compute the response of the channel for an arbitrary input by performing the appropriate convolution sum.

For this task, we've already written a Python program that compares a prediction made using the unit sample response against the actual output of the channel. The program uses a test message (10101010) transmitted with the specified number of samples per bit.

The program produces a figure with three subplots: a plot of the computed output, a plot of the predicted output and a plot showing the the difference between computed and predicted for each sample.

PS3 2.py is the file for this task:

```
# template for PSet #3, Python Task #2
import numpy
import matplotlib.pyplot as p
import PS3 tests
from PS3 1 import unit sample response
# create some plots showing how prediction of the
# response using the convolution sum compares with
# the actual response from the channel.
def compare usr chan(channel, samples per bit):
    # Get channel's unit sample response
    h = unit sample response(channel)
    # send test message through channel
    bits = [1,0,1,0,1,0,1,0]
    test samples = PS3 tests.transmit(bits, samples per bit)
    .qut samples = channel(test samples)
                                            don't have to bild complete
    # make prediction of result using unit-sample response
   out_conv_samples = numpy.<u>convol</u>ve(numpy.array(test_samples),
                                       numpy.array(h))
    # only compare as many samples as in the shortest output
    num compare = min(len(out samples),len(out conv samples))
    out conv samples = out conv samples[:num compare]
out_samples = out_samples[:num_compare]
    # plot the results
    \max \text{ ot} = \max([\max(\text{test samples}),
                  max(out samples),
                  max(out conv samples)])
    min ot = min([min(test_samples),
                  min(out samples),
                  min(out_conv_samples)])
    delta = max ot - min ot
    plot max = max ot + 0.1 * delta # avoid samples at the edges of plot
    plot min = min ot - 0.1 * delta
    p.figure()
    p.subplots_adjust(hspace = 0.6)
    cname = "Channel " + channel.id
    p.subplot(311)
```

what is chance doing that

```
p.plot(out samples)
   p.title(cname+" Output")
   p.axis([0, num compare, plot min, plot max])
   p.subplot(312)
                                             What is the prediction?
   p.plot(out conv samples)
   p.title(cname+" Prediction")
    p.axis([0,num_compare,plot_min,plot_max])
   p.subplot(313)
    p.plot(out conv samples - out samples)
   p.title(cname+" Error")
if name == ' main ':
    # plot the unit-sample response of our two channels
    compare usr chan(PS3 tests.channel('1'),100)
    compare usr chan(PS3 tests.channel('2'),50)
    # interact with plots before exiting.
    p.show()
```

Looking at the Error plots for each channel, we see that the error is zero for a while, but at some point becomes non-zero, although not very large (look at the vertical scale for the Error plot). We wouldn't expect any error at all if the convolution sum produced a perfect prediction. Explain where the error comes from and why it's zero for a while. What's "magic" about the sample at which it becomes non-zero?

-not noise the Osin the front
-not sure
-right at that bump to the decimal points (convertible)

Lecture:
-nope - but when it starts decreasing the decimal points get as

Small;

Small;

### Python Task 3: Deconvolver (8 points)

Useful download link:

PS3 3.py -- template file for this task

In lecture we talked about deconvolution, an approach to reconstructing the signal at the input to the transmission system by looking at the transmission channel's output, y[n], and using the channel's unit sample response, h[n].

In particular, we showed that the sequence w[n] would be a reconstruction of the input sample sequence x[n] if w[n] satisfied the difference equation

y[n] = h[0]w[n] + h[1]w[n-1] + ... + h[K]w[n-K].

where y[n] is the sequence of channel output samples and the unit sample response h[n] is

```
zero after some number of samples, i.e., h[n] = 0 when n > K. Oelgo
We can rearrange this equation to solve for w[n]:
```

w[n] = (1/h[0])(y[n] - h[1]w[n-1] - ... - h[K]w[n-K]).

Since you are given y[n], and since you know w[n] = 0 for n < 0, you can solve the above equation for w[0] given y[0], then for w[1] given w[0] and y[1], and so on:

```
like I did above
w[0] = (1/h[0])(y[0])
w[1] = (1/h[0])(y[1] - h[1]w[0])
w[2] = (1/h[0])(y[2] - h[1]w[1] - h[2]w[0])
```

Remember to modify this simple "plug and chug" strategy when the leading values of h[n] (h[0], h[1],..) are zero. In fact the unit sample response for one of the channels has leading like leaker notes

<u>PS3\_3.py</u> is a template for this task:

```
# template for PSet #3, Python Task #3
 import numpy, random
 import matplotlib.pyplot as p
 import PS3 tests
 from PS3 1 import unit sample response
 # arguments:
# y -- sequence of received voltage samples
# h -- sequence returned by unit_sample_response
 # return value
     sequence of deconvolved voltage samples
def deconvolve(y,h):
     Take the samples that are the output from a channel (y), and
     the channel's unit-sample response (h), deconvolve the
     samples, and return the reconstructed samples. Be sure the
     length of the reconstructed samples is the same as the length
     of the input samples.
     Your code should handle the case where some number of
     the leading elements of h are zero
     17 17 17
     pass # your code here
 if name == ' main ':
     # Create two noise free channels
     mychannel1 = PS3_tests.channel(channelid='1', noise=0.0)
     mychannel2 = PS3 tests.channel(channelid='2', noise=0.0)
     # Compute the channel unit sample responses of the
     # noise-free channels
     h1 = unit sample response(mychannel1)
```

deconvolution failed (ie, where the error grew very large as the deconvolution progressed).

	Browse
(points: 0.5)	
Channel 1: smallest noise v	alue where deconvolution failed: Got like (
(points: 0.5)	A SING CORNER OF TO THE WORK OF
Channel 2: largest noise va	lue where deconvolution succeeded:
Charlier 2. largest noise val	Browse
e a set of channel . Win	lease fill in the function decared to The function should take
(points: 0.5)	atput samples and a unit sample response firom fask #11 and r
	utpur sangpies and a unit semple response (from insk in final rique).
	ralue where deconvolution failed:
	ralue where deconvolution failed:  Browse
Channel 2: smallest noise v	and egal is the unchanged to demons <b>tate that w</b> ater deconvolve
Channel 2: smallest noise v (points: 0.5)	Browse
Channel 2: smallest noise v (points: 0.5)	and egal is the unchanged to demons <b>tate that w</b> ater deconvolve
Channel 2: smallest noise v (points: 0.5)	Browse

In your noise experiments, you should see that deconvolution of Channel 2 is much less sensitive to noise than deconvolution of Channel 1. Briefly explain why.

Stability of the management there is no more in the management of the state of the

(points: 1)

You can save your work at any time by clicking the Save button below. You can revisit this page, revise your answers and SAVE as often as you like.

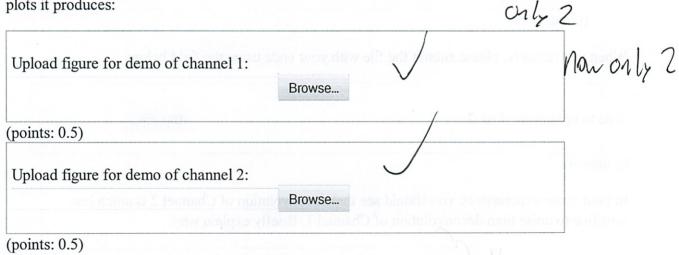
```
h2 = unit sample response(mychannel2)
# Generate a sequence of test samples
samples = numpy.sin(2*numpy.pi*0.01*numpy.array(range(100)))
samples [0:len(samples)/2] += 1.0
samples[len(samples)/2:] += -1.0
maxs = max(samples)
mins = min(samples)
samples -= mins # Make samples positive
samples *= 1.0/(maxs - mins) # Scale between zero and one
# Test deconvolver
PS3 tests.demo.deconvolve(samples, mychannel1, h1, deconvolve)
PS3 tests.demo deconvolve(samples, mychannel2, h2, deconvolve)
# interact with plots before exiting
p.show()
```

There are three parts to this deconvolution task:

input.

ishald it have 1. Please fill in the function deconvolve. The function should take a set of channel output samples and a unit sample response (from Task #1) and return the reconstructed

2. Run PS3 3.py unchanged to demonstrate that your deconvolver is working on a short sequence of test samples for two noise free channels. Please save and upload the two plots it produces:



3. Deconvolution is very effective if there is no noise in the transmission system. To demonstrate the impact of noise, you can add noise to the channel by changing the value of noise when each channel is instantiated. For example, setting noise=1.0e-5 will add noise with an amplitude of about  $\pm 1.0e-5$  to the output of the channel. Experiment with the noise amplitude (try values at different orders of magnitude varying from 1e-10 to 1e-1) to determine for each channel the order of magnitude for the largest noise value for which the deconvolution still succeeds. Please save and upload two plots for each channel: the plot for the largest noise value where the deconvolution still succeeded, and the plot for smallest noise value where the

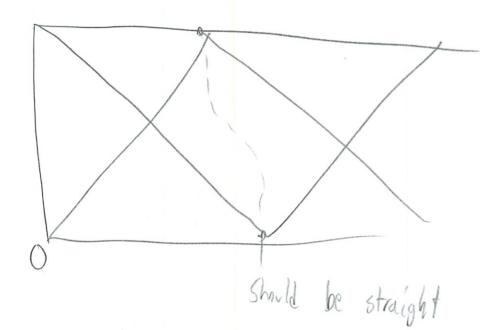
Save

To submit the assignment, click on the Submit button below. YOU CAN SUBMIT ONLY ONCE after which you will not be able to make any further changes to your answers. Once an assignment is submitted, solutions will be visible after the due date and the graders will have access to your answers. When the grading is complete, points and grader comments will be shown on this page.

Submit

Elists 3# samples

1=1



but how does delay effect?

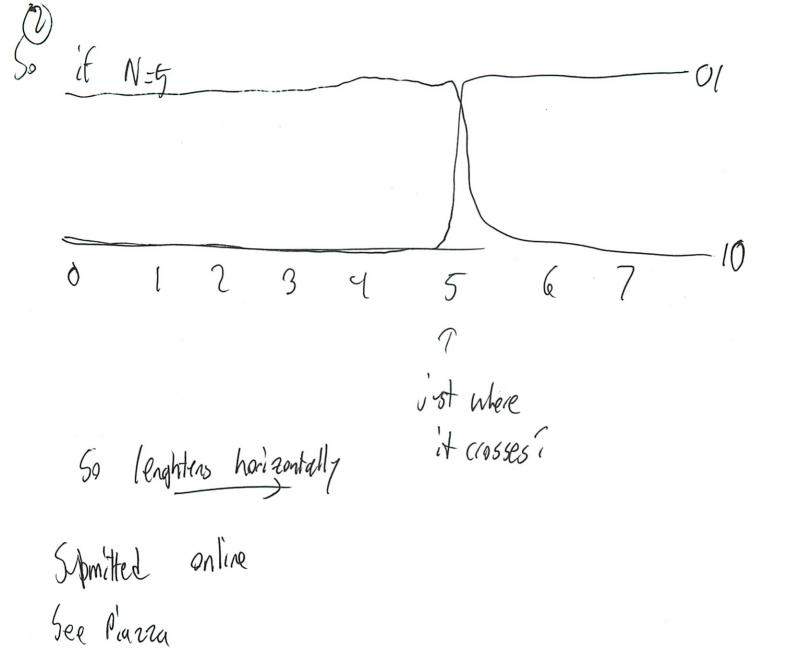
Side 
$$Y[2] = 20|_{+} |_{01} + 01| = 3$$
  
Side  $Y[3] = 301 + 71| + 11 + 01| = 6$   
 $Y[4] = 401 + 30| = 10$   
 $Y[5] = 11$   
 $10$ 

()

Still it will not change? Say N=5 h[n]4 3

H means delay
But tl describes channel response
Not input

But then when we add input, what happens?



h[n] yloj= When this starts at o X/07= 201 = 2 1117=2.1, 12.1 = 3 Y[7] = 2.4 + 2.2 + 2.1 = 3.5 = biggest 7[3]=12.1/4+2.1/4+2.1/2+-2.1=-1/4 7[4] = 2. \frac{1}{16} + 2. \frac{1}{8} + 2. \frac{1}{4} + -2. \frac{1}{2} = 1-\frac{2}{16} = \frac{9}{16} (2) Now test

$$Y[0] = 1.4 = 4$$
  
 $Y[1] = \frac{1}{2}.4 + 1.-1 = 2-1 = 1$ 

$$S$$
,  $X[n]$ 

? Solve backnards ? deconvolve?

Vsvally get ul unit sample Let me try work bachward,

$$44 \ 4 = 1 \cdot h[0]$$
  
 $h[0] = 4$ 

$$Y[0] = |\cdot| = 1$$
 $Y[1] = |\cdot| + |\cdot| = 1$ 
 $Y[1] = |\cdot| + |\cdot| = 1$ 
 $Y[2] = |\cdot| + |\cdot| = 1$ 
 $Y[3] = |\cdot| + |\cdot| = 1$ 
 $Y[4] = |\cdot| = 1$ 
 $Y[4] = |\cdot| = 1$ 
 $Y[5] = |\cdot| = 1$ 

(2) (b) - So added (0)k (5) Y(0) = 1.1 + 1.2 + -1.1 + 1.2 + 1.4 + 1

Want h[n)

$$1 = .9 + ... \cdot h(3)$$
 $h(3) = .1$ 

$$\frac{1}{h(4)} = 1 + 1 \cdot h(4)$$

$$\frac{h(4)}{h(4)} = 0$$

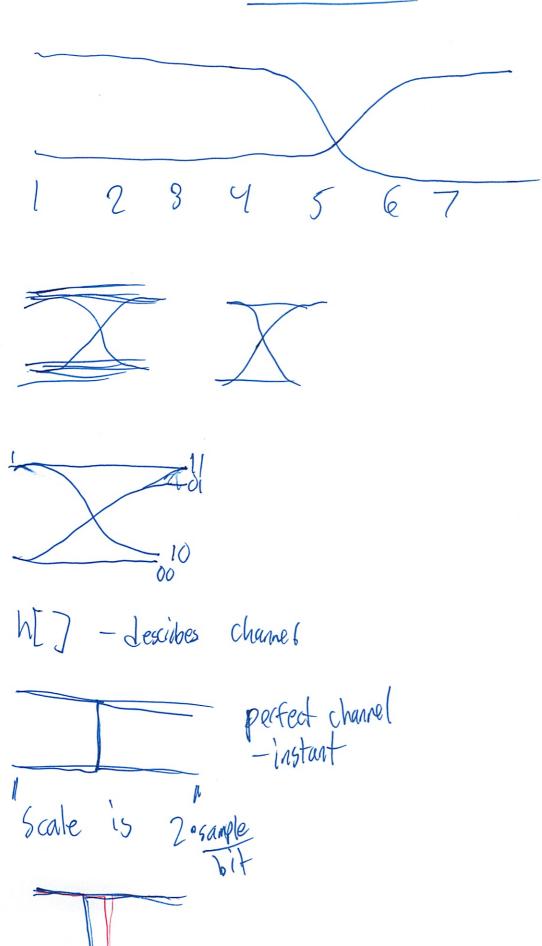
$$\begin{cases} h(n) \\ 15.5.5 \end{cases}$$

Want x[n]
- same as other problem

$$1 = 2.5 + x[1].5$$
  
 $x[1]=0$ 

$$0 = 2.5 + 0.5 + 7.5 + \times [3].0$$

$$\times [3] = 0$$



Wrapping is only lample unit - so would shift here

If Jelay was 10 - world see map divisable by n
For the + 1 shift
Vil Z When 7 or something like that
Ringing - Can't road
Silow -
H gets transfer
- has d up front
- Can't deconvolve
-but when add noise
The Os are no longer discarded
-50 upront have some latter
-drop not anly 0 but
-1e-5 & 0 & 1e-5
Change based on noise
Just pich someting - compare to mar signal -

Like in PS 3 Task 1 Kinging Doll not really why making robest key 'LLO7 - is significant is ringing channel - MONE - hoise does not effect much % - wise Exam Thur - Course org changed so previous exams not cover same
- Should know totarial problems
- Should know totarial problems
- according - Shald know total problems

## Drawing Eye Diagrams

There are many ways to draw eye diagrams. There is no right approach; it is subjective and personal. Dr Terman has outlined one technique during the lectures. Here we discuss some ideas. What you will be typically given:

- i) A unit-step response h[n], or the step response s[n].
- ii) The number of samples per bit N.

This is what you do.

Step 1: [Figure out the number B of interfering bits.] This can be done by looking at a diagram similar to Figure 1. Recall the "flip-and-slide" convolutional sum from lecture (or as I call it "filter-form"). Flip the unit sample response h[n], as shown. The number of whole bit cells covered by h[-n], plus 1, will be the number B of interfering bit cells.

If you want a formula  $^{1}$  to calculate B, we can write

$$B = \left\lceil \frac{L-1}{N} \right\rceil + 1,$$

where L is the length (or "active-part") of h[n] (see Figure 1). Note that  $\lceil a/b \rceil$  is the "ceiling" of the fraction a/b, i.e. the smallest integer that is larger than of equal to a/b. For example, if a/b = 5/6, then  $\lceil 5/6 \rceil = 1$ . If a/b is an integer, i.e. a/b = 2, then  $\lceil 2 \rceil = 2$ .

Step 2: [Get the step response s[n]].

- Step 3: [Consider each bit pattern]. There all together  $2^B$  bit patterns, because we care about B interfering bit cells, plus the bit-cell of interest, see Figure 1. For each length-B bit pattern, we build the sequence of ascending and descending step responses, shown in Figure 2. Do the following [for each length-B bit pattern.]:
  - a) Set k = B 1. Initialize the output sequence y[n] := 0 (i.e. to the all-0 sequence).

<sup>&</sup>lt;sup>1</sup>There is another formula  $B = \lfloor \frac{L}{N} \rfloor + 2$  given in Lecture notes 5. Both will work just fine (though note they are not exactly the same - I leave it up to you to think why this is so [but not so important]).

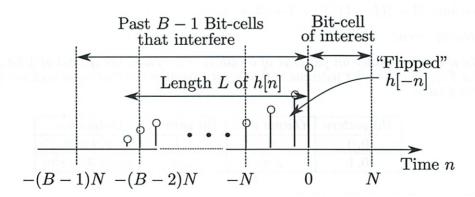


Figure 1: How many bit cells B do we need to consider?

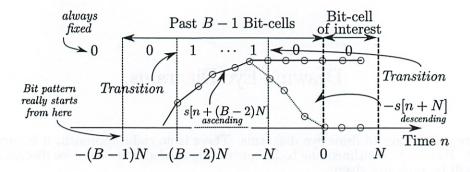


Figure 2: Each bit transition is associated with a step transition s[n]. If the bit transits from  $0 \to 1$ , it is an ascending transition. If bit transits  $1 \to 0$ , the transition is a descending one.

- b) Look at the bit-cell boundary at  $n = -k \cdot N$ .
  - If there is **no** bit transition between the adjacent bit-cells (that share common boundary at  $n = -k \cdot N$ ), **do nothing**.
  - If there is an ascending transition  $0 \rightarrow 1$ , draw the ascending (shifted) step response

$$s[n+k\cdot N].$$

- Set  $y[n] := y[n] + s[n + k \cdot N]$ .
- If there is an descending transition  $1 \to 0$ , draw<sup>2</sup> the descending (shifted) step response

$$-s[n+k\cdot N].$$

Set 
$$y[n] := y[n] - s[n + k \cdot N]$$
.

c) Set k := k - 1. If k < 0 you are **done with this bit pattern**, and you will get the corresponding output sequence y[n]. Otherwise **repeat** step b).

The idea of draw the transitions is purely for visual effect. Its best to see how this works by examples.

Example 1. Let us consider Problem 5 of Tutorial "Noise & Bit Errors".

- Number of samples per bit N = 3.
- Step response (memory length L=4)

$$s[n] = 0.2, 0.4, 0.7, 1.0, 1.0, \cdots$$

**Step 1:** We have  $B = \lceil (L-1)/N \rceil + 1 = \lceil 3/4 \rceil + 1 = 2$ .

Step 2: Already given.

**Step 3:** We need to consider bit patterns of length B=2. There are a total of 4 bit patterns, as seen in Figure 3. The bit patterns with the corresponding output sequences are given in the following table.

Bit pattern	$Output\ y[n]$	Bit pattern	$Output \ y[n]$
0,0	0	1,1	s[n+3]
0,1	s[n]	1,0	s[n+3] - s[n]

<sup>&</sup>lt;sup>2</sup>I really draw it as  $s[\infty] - s[n + k \cdot N]$ , but this will not be important, you can come up with your own way. I only want to keep track which transitions are descending.

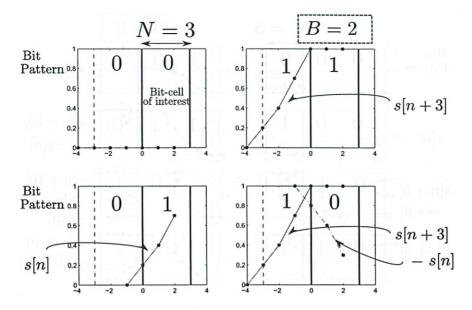


Figure 3: Example 1.

Example 2. Let us consider Problem 6 of Tutorial "LTI Systems, Intersymbol Interference, Deconvolution".

- Number of samples per bit N = 5.
- Step response (memory length L=10)

$$s[n] = 0.0, 0.04, 0.12, 0.24, 0.40, 0.60, 0.72, 0.84, 0.96, 1.00, \cdots$$

**Step 1:** We have B = [(L-1)/N] = [9/5] = 2.

Step 2: Already given.

**Step 3:** We need to consider bit patterns of length B=3. There are a total of 8 bit patterns, as seen in Figure 4. The bit patterns with the corresponding output sequences are given in the following table.

Bit pattern	$Output \ y[n]$	Bit pattern	$Output \ y[n]$
0,0,0	0	1, 1, 1	s[n + 10]
0, 0, 1	s[n]	1, 1, 0	s[n+10] - s[n]
0, 1, 0	s[n+5] - s[n]	1, 0, 1	s[n+10] - s[n+5] + s[n]
0, 1, 1	s[n+5]	1,0,0	s[n+10] - s[n+5]

**Remark 1.** You might have noticed a pattern from Examples 1 and 2. The two bit patterns in the same row of the tables, are flips of each other, e.g. in Example 1, the first row pattern 0,0 is a flipped version of 1,1. The outputs y[n] of these two bit patterns look like flips of each other too. If I denote the outputs of the left and right (flipped) bit patterns as y[n] and y'[n], respectively, then they satisfy the relation

$$y'[n] = s[n + (B-1)N] - y[n].$$

This is really a geometric reflection about the "middle-point" s[n+(B-1)N].

<sup>&</sup>lt;sup>3</sup>Recall that we are only interested in evaluating y[n] for time instants  $n \ge 0$ . Figure 1 actually gives quite a convincing argument that  $s[n + (B-1)N] = s[\infty]$  for all  $n \ge 0$ . So the values s[n + (B-1)N] that we actually require, is really some constant  $s[\infty]$ . This observation further simplifies computations.

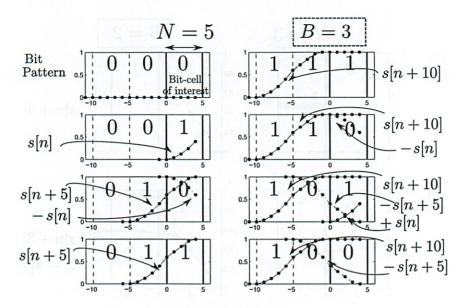


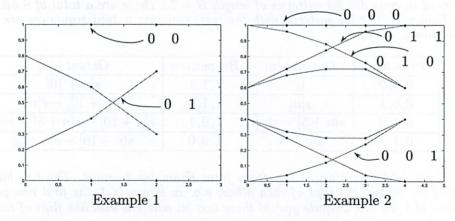
Figure 4: Example 2.

In Example 1, the bit pattern 0,1 has output y[n] = s[n], and the flipped bit pattern 1,0 has output y'[n] = s[n+3] - y[n] = s[n+3] - s[n].

This means that we really only need to determine the left bit patterns; the right (flipped) patterns are obtained automatically by flipping the left outputs. This reduces the number of patterns we need to consider by half.

**Remark 2.** If you get more used to eye diagrams, you don't even need to draw stuff in step b). From the tables, there is an observable relationship between the bit patterns, and the outputs y[n]. With practice, one could directly build the tables very efficiently, and this could be well-suited for quizzes.

Superimposing all the outputs y[n] on top of each other, will give the eye diagram.



Here I only label the left bit patterns.

# Another way to generate eye diagrams

Prof. Shah suggested an interesting approach to get eye diagrams. This is done using special sequences known as deBruijn sequences. A deBruijn sequence is really a code (something similar to 8b/10b), and has the following properties

- It is a binary sequence.
- It has a parameter m, which determines its length  $2^m$ .
- $\bullet$  If we periodically replicate the sequences, every possible length-m bit pattern appears.

**Example 3.** The deBruijn sequences of lengths  $2^m = 4, 8, 16$  and 32 are

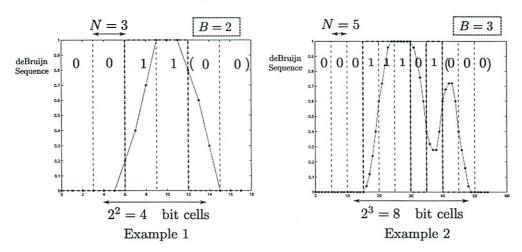
m	Sequence	Unit Steps (N samples per bit)
2	$0,0,1,1 \; (,0,0,1,\cdots)$	u[n-2N] - u[n-4N]
3	$0,0,0,1,1,1,0,1 \ (,0,0,0,1,\cdots)$	u[n-3N] - u[n-6N] + u[n-7N]
		-u[n-8N]
4	$0,0,0,0,1,1,1,1,0,1,0,1,1,0,0,1 \ (,0,0,0,0,1,\cdots)$	
		-u[n-10N] + u[n-11N] - u[n-13N]
		+u[n-15N] - u[n-16N]
5	omitted because I don't want to write	$(transition \ pts \ (multiples \ of \ N))$
	$a\ string\ of\ 32\ bits$	4, 5, 6, 7, 8, 11, 12, 14,
		17, 22, 24, 26, 27, 28, 30, 31

For the sequence of length  $2^m = 4$ , the first two bits are 0,0, the next two 0,1, the next two 1,1, and the next two 1,0. Similarly for the sequence of length  $2^m = 8$ , every length-3 bit pattern (e.g. 0,0,0, and 0,0,1, and 0,1,0, etc) will appear. The length-4 bit patterns appear in the last sequence of length  $2^m = 16$ . You get the idea.

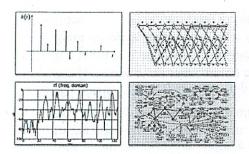
We can use deBruijn sequences to generate eye diagrams as follows:

- Step 1: Get the deBruijn sequence of length  $2^m = 2^B$ .
- Step 2: Transmit this deBruijn sequence (using N samples per bit) and get channel output y[n].
- Step 3: Each bit-cell now contains the output corresponding to the length-B bit pattern.

**Example 4.** The figures below show how we use deBruijn sequences to get all possible outputs y[n] for previous Examples 1 and 2. The nice thing here is that we only need 2 transitions for Example 1, and 4 transitions for Example 2. These are small numbers of transitions.



The formula I know for generating these sequences, is non-trivial to evaluate in a quiz setting. Still you have a crib-sheet for the quiz, and you can copy down the deBruijn sequences if you want to use them. They are compactly represented using unit-steps. Hopefully I did not make any mistakes when compiling these sequences.



INTRODUCTION TO BECS II

# DIGITAL COMMUNICATION SYSTEMS

6.02 Spring 2011 Quiz Thur Waller Gym Lecture #8 | pg cribshed

- Coping with errors using packets
- Detecting errors: checksums, CRC
- Hamming distance & single error correction
- (n,k) block codes

6.02 Spring 2011

Lecture 8, Slide #1

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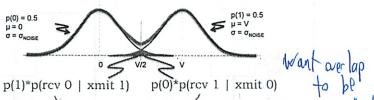
V/2-

Lecture 8, 50'de #2

#### **Bit Errors**



Assuming a Gaussian PDF for noise and only 1-bit of intersymbol interference, samples at t<sub>SAMPLE</sub> have the following PDF:



We can estimate the bit-error rate (BER) using  $\Phi$ , the unit normal cumulative distribution function:

$$BER = (0.5)\Phi\left[\frac{V/2 - V}{\sigma_{NOISE}}\right] + (0.5)\left[1 - \Phi\left[\frac{V/2 - 0}{\sigma_{NOISE}}\right]\right] = \Phi\left[\frac{-V/2}{\sigma_{NOISE}}\right]$$

For a smaller BER, you need a smaller  $\sigma_{NOISE}$  or a larger V!

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Lecture 8, 5lide #3

# **Dealing With Errors: Packets**

There's good news and bad news...

The bad news: larger amplitude errors (hopefully infrequent) that change the signal irretrievably. These show up as bit

The good news: Our digital

signaling scheme usually allows

us to recover the original signal

despite small amplitude errors

introduced by inter-symbol

interference and noise. An example of the digital abstraction

doing its job!

message

To deal with errors, divide message into fixed-sized packets, which are transmitted one after another.

chunks chiave id chk<sub>1</sub> message, chk<sub>2</sub> message<sub>3</sub> chk<sub>3</sub> paylou

Packet = {#, message, chk}

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Sequence number provides unique identifier for each packet.

tSAMPLE

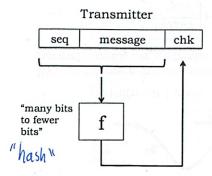
errors in our digital data stream.

Check bits are redundant information that lets receiver verify # and message. Failure? Ask for packet to be resent.

Packet size: Too small → #/chk overhead is large

Too big → p(error) is larger, more to resend

#### Check bits



Check bits computed from # and message. Goal: change a bit in message → many bits change in check bits.

Receiver

seq message chk

Viscond
function

True: no errors
False: errors

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Lecture 8, Slide #5

#### Checksums

- Simple checksum
  - Add up all the message units, send along sum
  - Easy for two errors to mask one another Heal each other
    - Some 0 bit changed to a 1; 1 bit in same position in another message unit changed to a 0... sum is unchanged
- Weighted checksum
  - Add up all the message units, each weighted by its index in the message, send along sum
  - Still too easy for two errors to offset one another
- · Both! Adler-32 Vsid in Zip
  - -A = (1 + sum of message units) mod 65521
  - B = (sum of A<sub>i</sub> after each message unit) mod 65521
  - Send 32-bit quantity (B<<16) + A
  - Good in software, not good for short messages

Lecture 8, Slide #7

Detecting Errors

Likely errors...

seq message chk

wirthess - error pirels

f

Likely errors:

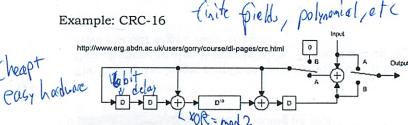
Random bits (BER) False

Error bursts

Lecture 8, Stide 46

err on side of calling good packets bad

Cyclical Redundancy Check



Sending: Initialize all D elements to 0. Set switch to position A, send message bit-by-bit. When complete, set switch to position B and send 16 more bits.

Receiving: Initialize all D elements to 0. Set switch to position A, receive message and CRC bit-by-bit. If correct, all D elements should be 0 after last bit has been processed.

CRC-16 detects all single- and double-bit errors, all odd numbers of errors, all errors with burst lengths < 16, and a large fraction (1-2<sup>-16</sup>) of all other bursts.

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many bits affect each bit

This is tandation building on lection stilling on

#### Approximate BER for common channels

Channel type	Bandwidth	BER
Telephone Landline	2 Mbits/sec	10 <sup>-4</sup> to 10 <sup>-6</sup>
Twisted pair (differential)	1 Gbits/sec	≤10-7 Ellere
Coaxial cable	100 Mbits/sec	≤10-6
Fiber Optics	10 Tbits/sec	≤10 <sup>-9</sup>
Infrared	2 Mbits/sec	10 <sup>-4</sup> to 10 <sup>-6</sup>
3G cellular	1 Mbits/sec	10-4

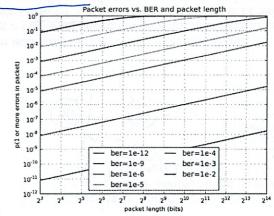
Source: Rahmani, et al, Error Detection Capabilities of Automotive Technologies and Ethernet - A Comparative Study, 2007 IEEE Intelligent Vehicles Symposium, p 674-679

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Lecture 8, Slide #9

#### How Frequent is Packet Retransmission?

 $p(1 \text{ or more errors}) = 1 - p(\text{no errors}) = 1 - (1 - BER)^k$ 



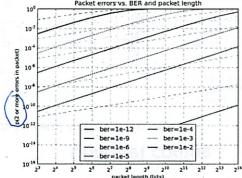
With 1kbyte packets and BER=1e-6, retransmit 1 every 100.

6.02 Spring 2011 Lecture 8, Slide #10

#### Implement Single Error Correction?

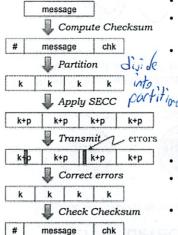
To reduce retransmission rate, suppose we invent a scheme that can correct single-bit errors and apply it to sub-blocks of the data packet (effectively reducing k). Does that help?

p(2 or more errors) = 1 - p(no errors) - p(exactly one error)  
= 1 - 
$$(1 - BER)^k - k*BER*(1-BER)^{k-1}$$



Lecture 8, Slide #11

#### **Digital Transmission using SECC**



- · Start with original message
- · Add checksum to enable verification of error-free transmission
- Apply SECC, adding parity bits to each k-bit block of the message. Number of parity bits (p) depends on code:
  - Replication: p grows as O(k)
  - Rectangular: p grows as O(√k)
- Hamming: p grows as O(log k)
- After xmit, correct errors
- · Verify checksum, fails if undetected/uncorrectable error
- Deliver or discard message

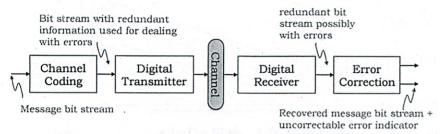
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Lecture 8, Slide #12

Introduce and Whi bits on 12-6it blocks

#### Channel coding

Our plan to deal with bit errors:



We'll add redundant information to the transmitted bit stream (a process called channel coding) so that we can detect errors at the receiver. Ideally we'd like to correct commonly occurring errors, e.g., error bursts of bounded length. Otherwise, we should detect uncorrectable errors and use, say, retransmission to deal with the problem.

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Lecture 8, Slide #13

Lecture 8, Slide #15

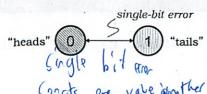


**Hamming Distance** (Richard Hamming, 1950)

> HAMMING DISTANCE: The number of digit positions in which the corresponding digits of two encodings of the same length are different

The Hamming distance between a valid binary code word and the same code word with single-bit error is 1.

The problem with our simple encoding is that the two valid code words ("0" and "1") also have a Hamming distance of 1. So a single error changes a valid code word into another valid code word...



Error detection and correction

Suppose we wanted to reliably transmit the result of a single coin





This is a prototype of the "bit" coin for the new information economy. Value = 12.5¢



Heads: "0"

Tails: "1"

Further suppose that during transmission a single-bit error occurs, i.e., a single "0" is turned into a "1" or a "1" is turned into a "0".



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Lecture 8, Slide #14

#### **Error Detection**

"heads"

What we need is an encoding where a single-bit error doesn't produce another valid code word.

not true in a is effor 'tails" 10

If D is the minimum Hamming distance between code words. we can detect up to (D-1)-bit errors

We can add single error detection to any length code word by adding a parity bit chosen to guarantee the Hamming distance between any two valid code words is at least 2. In the diagram above, we're using "even parity" where the added bit is chosen to make the total number of 1's in the code word even.

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At More that I bt circs - believe !

Lecture Slide #16

#### Parity check

- A parity bit can be added to any length message and is chosen to make the total number of "1" bits even (aka "even parity").
- To check for a single-bit error (actually any odd number of errors), count the number of "1"s in the received message and if it's odd, there's been an error.

```
0 1 1 0 0 1 0 1 0 1 0 1 1 → original word with parity 0 1 1 0 0 0 0 1 0 0 1 1 → single-bit error (detected) 0 1 1 0 0 0 1 1 0 0 1 1 → 2-bit error (not detected)
```

 One can "count" by summing the bits in the word modulo 2 (which is equivalent to XOR' ing the bits together).

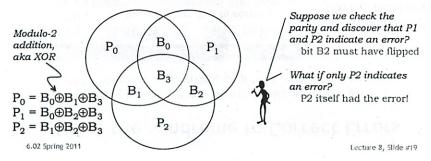
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Lecture 8, Slide #17

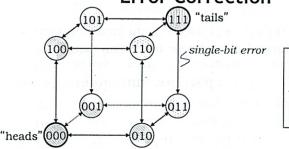
#### Single Error Correcting Codes (SECC)

#### Basic idea:

- Use multiple parity bits, each covering a subset of the data bits.
- No two message bits belong to exactly the same subsets, so a <u>single error</u> will generate a unique set of parity check errors.



#### **Error Correction**



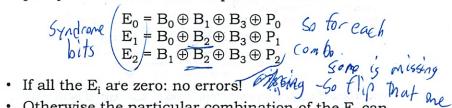
If D is the minimum Hamming distance between code words, we can correct up to  $\left|\frac{D-1}{2}\right|$  bit errors

By increasing the Hamming distance between valid code words to 3, we guarantee that the sets of words produced by single-bit errors don't overlap. So if we detect an error, we can perform *error correction* since we can tell what the valid code was before the error happened.

- Can we safely detect double-bit errors while correcting 1-bit errors?
- Do we always need to triple the number of bits?
  6.02 Spring 2011
  Lecture 8, Stide #18

## error bit and e Checking the parity

- Transmit: Compute the parity bits and send them along with the message bits
- Receive: After receiving the (possibly corrupted) message, compute a syndrome bit (E<sub>i</sub>) for each parity bit. For the code on previous slide:



 Otherwise the particular combination of the E<sub>i</sub> can be used to figure out which bit to correct.

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Lecture 8, Slide #20

#### Using the Syndrome to Correct Errors

Continuing example from previous slides: there are three syndrome bits, giving us a total of 8 encodings.

$E_2E_1E_0$	Single Error Correction
000	No errors
001	PO has an error, flip to correct
010	P1 has an error, flip to correct
011	B0 has an error, flip to correct
100	P2 has an error, flip to correct
101	B1 has an error, flip to correct
110	B2 has an error, flip to correct
111	B3 has an error, flip to correct

What happens if there is more than one error?

Lecture 8, 5lide #21

Po is parity bit



The 8 encodings indicate the 8 possible correction actions: no errors, error in one of 4 data bits, error in one of 3 parity bits

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A simple (8,4,3) code

Idea: start with rectangular array of data bits, add parity checks for each row and column. Single-bit error in data will show up as parity errors in a particular row and column, pinpointing the bit that has the error.

row#1
s parity bit column #2
i

011	011	0 1 1
110	100	111
10	1 0	10

Parity for each row and column is correct ⇒ no errors Parity check fails for row #2 and column #2 ⇒ bit B<sub>3</sub> is incorrect

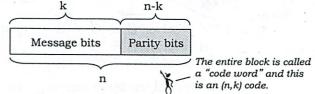
Parity check only fails for row #2 ⇒ bit P<sub>1</sub> is incorrect

Can you verify this code has a Hamming distance of 3?

#### Lecture 8, Slide #23

#### (n,k,d) Systematic Block Codes

- Split message into k-bit blocks
- Add (n-k) parity bits to each block, making each block n bits long.



- Often we'll use the notation (n,k,d) where d is the minimum Hamming distance between code words.
- The ratio k/n is called the code rate and is a measure of the code's overhead (always ≤ 1, larger is better).

6.02 Spring 2011

Lecture 8, Slide #22

#### How many parity bits to use?

- Suppose we want to do single-bit error correction
  - Need unique combination of syndrome bits for each possible single bit error + no errors
  - n-bit blocks → n possible single bit errors
  - Syndrome bits all zero → no errors
- · Assume n-k parity bits (out of n total bits)
  - Hence there are n-k syndrome bits
  - 2<sup>n-k</sup> 1 non-zero combinations of n-k syndrome bits
- So, at a minimum, we need  $n \le 2^{n-k} 1$ 
  - Given k, use constraint to determine minimum n needed to ensure single error correction is possible
  - (n,k) Hamming SECC codes: (7,4) (15,11) (31,26)

The (7,4) Hamming SECC code is shown on slide 19, see the Notes for details on constructing the Hamming codes. The clever construction makes the syndrome bits into the index needing correction.

6.02 Spring 2011

Lecture Slide 424

6.02 Recitation Exam / Review

Cheat sheet dable sidele

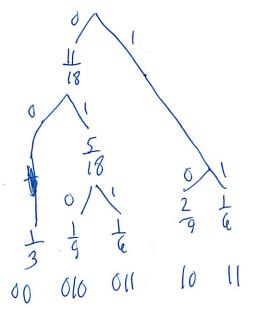
Topics 1. Information Happen Enritropy 2. Huffman code 3. LZW code 4. LTT Linear Time Favorient - Unit Sample Signal - Convolution -deconvolution 5. Exe llagrans 6. Misc Ø 86/106 Syncronization

(I think I did well in class-just need to retresh my mind)

Say have prob le to to to to So any the logs of the probabilities L. log2 6 + L log2 6 + z log2 3 + z log2 3 + z log2 2 22.1

This is the minimum # bits per symbol for average (white the prob dist) of each character

Now assign code



Avg bit leight

2 + 5 1 by symbol prob

LZW Message: Once Upon A Time A Cat ate The (soling String = \* Symbol = \* Get Next Symbol String + Gymbol & Table 1 Mes. String = Grander String + Sambol
Noi Juset String + Sambol
Table (string) (String = Symbol Otpt Table (String)

Table

First some entries of alphabet preloader

At we will simplify A=1 I=7 I=12 0=2 M=8 U=13 0=3 N=9 0=10 0=5 0=10 0=10 0=10 0=10

Once upon a time cet ate tre dag Symbol = 0 String - X String = 0 Symbol = N String - N ->. More curson Symbol = C String -(

Now decode

\* - Do nothing

lo = 0

9 = N

Lyadd ON to table

If get something where don't know the last letter then it is the first letter of the string 2DC\* -> the \* = 2

# Algorithms exploit the struture of a problem - the invarients behind it

$$(Onvolution 
$$V[n] = \sum_{k=-\infty}^{\infty} \times [n-k] L[k]$$$$

$$\times [n] = \frac{1}{h[0]} (y[n] - ...)$$

$$\chi(n)$$
  $h_2$   $y_2$ 

$$Y[n] = Y_1[n] + Y_2[n]; X_1h_1 + X_2h_2 = X_2(h_1+h_2)$$

Exe Diagrams  $N = \frac{\text{Sample}}{\text{bit}}$   $M = \frac{\text{Memory of } h}{\text{depends on } h, \text{your channel}}$   $B = \frac{m}{N} + 1$ Find each possible cambo N = 3 M = 3 M = 5

3 3 3 EN

Voltage over time

.

### 6.02 Reva Session

- Format: Tutorial Problems

1. Buch of people gressing 3 bit #

a) Aliace told it is odd. How much bits of into did she get.

50 3 67 #

0 >7

So halt is even, odd

So \$ 8 had

4 have sinverse of prob

Been given logz (3) information

= 1 bit

b) Bob told not multiple of 3

So not 3,6

O is included as well

log 2 ( 3 ) 2.6

has remaining that It

c) Charlies told has 2 11 in binary

000 010 () 0 (log 2 (8) 21,45 100 0116 101 1 () t 111 6 d) Told all 3 above -told exactly what # it is -so 3 bits of info log 2 ( = 3 2. Know x is 8-bit binary # know y differs in 1 bit How much into given about x?  $\log_2\left(\frac{256}{8}\right) = 5$  bits

# of possibilities it still can be

Record Revieweb E symbols

Express # as encoded for A symbols

So if get stream of aaaaa... how many bits to send?

Output Table

M. Decoder
Will give preudo code on exam
Il Tuot do in reverse
Went over in recitation

Its just the previous blake plus first letter at next block Digitial Signaling # of samples per bit Look at min width of either 1 or 0 seq 30 look in the middle of every interval of 3 If tansition is in middle -decrease # samples per bit What is bits per second? 1010-6 = 1010+6 bits/sec

Think it is 2 samples/bit but here does not work! So fall dan to I sample/bit 8610 lets you know where packet begins not clack recovery - that was that speed p/slow down reading -align sampling interval - not very good out long seq of Os, 15
- errors accumulate 86/10 reiencods so transitions in there

TA does not know algorithm to go to 10 bit - Lode at Willipedia

DM LTI System How to check is a LTI system? Y[n] = 2 x[n] +3 x,[n] -> x[n] = 2 x, [n] +3  $x_{2}[n] = y_{2}[n] = 2 \times_{2}[n] + 3$  $x_3[n] = x_1[n] + x_2[n] \rightarrow y_3[n] = 2x_3[n] + 3$ = 2 (X,[n] + X2[n])+3 + xi[n] + xz[n] So not a linear system (Need clarification on this) Check for time invarient  $x[n-n_0] \rightarrow y_{out} = 2x[n-n_0] + 3$ = Y[n-no]

is time invarient

 $\begin{array}{l}
Y[n] = n \times [n] \\
X_{1}[n] \rightarrow Y_{1}[n] = n \times_{1}[n] \\
X_{2}[n] \rightarrow Y_{2}[n] = n \cdot \times_{2}[n] \\
X_{3}[n] = \times_{1}[n] + \times_{2}[n] \\
X_{4}[n] = n \times_{1}[n] + \times_{2}[n] \\
X_{5}[n] = x_{1}[n] + \times_{2}[n] \\
X_{6}[n] = x_{1}[n] + x_{2}[n]$   $\begin{array}{l}
X_{1}[n] + X_{2}[n] \\
X_{2}[n] + X_{3}[n]
\end{array}$   $\begin{array}{l}
X_{1}[n] + X_{2}[n] \\
X_{2}[n] + X_{3}[n]
\end{array}$   $\begin{array}{l}
X_{1}[n] + X_{2}[n] \\
X_{2}[n] + X_{3}[n]
\end{array}$   $\begin{array}{l}
X_{1}[n] + X_{2}[n] \\
X_{2}[n] + X_{3}[n]
\end{array}$ 

 $1 \times [n-n_0] - y_{out} = n \times [n-n_0]$   $\neq y_{out} = n \times [n-n_0]$   $= (n-n_0) \times [n-n_0]$   $= n_0 \times [n-n_0]$   $= n_0 \times [n-n_0]$   $= n_0 \times [n-n_0]$   $= n_0 \times [n-n_0]$ 

X[n] = 2" v[n] Input to system h[n] = V[n) unit response to system X[v] h[n]So what is output? x[n] Use  $\frac{1}{2}$  convolution  $\frac{1}{2}$   $\frac{1}{2}$ note that x[17 =0, k<0 h[n-k] \* 0, n-k 70
h 7 k

 $Y[n] = \sum_{k=-\infty} x[k] h[n-k]$ 

When 
$$n > 0$$

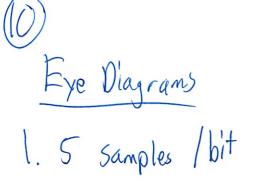
$$y[n] = \sum_{k=-\infty}^{\infty} x \lfloor k \rfloor$$

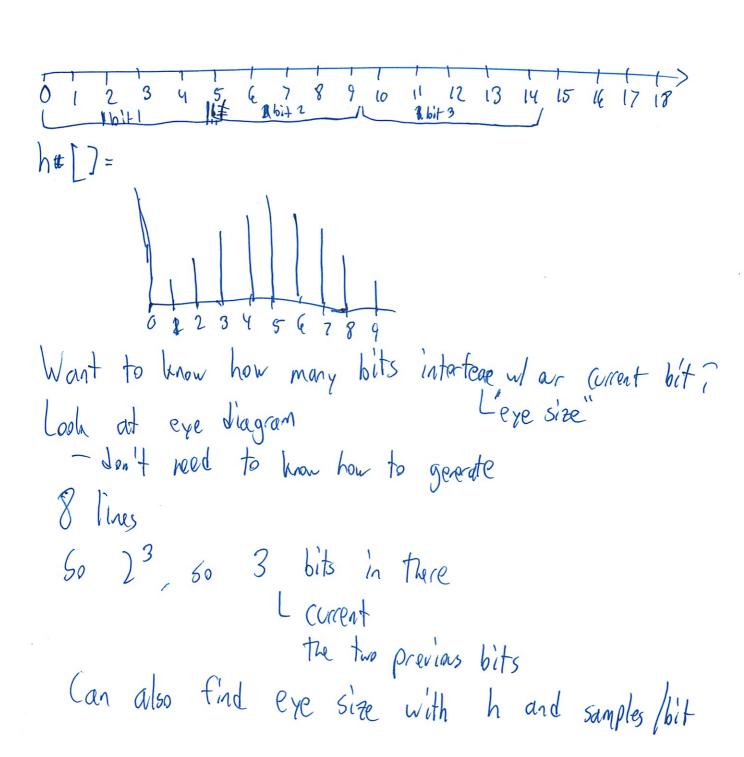
$$= \sum_{k=-\infty}^{\infty} 2^{k}$$

$$= 2^{0} + 2^{-1} + 2^{-2} + \dots + 2^{-\infty}$$
So geometric sum
$$\frac{2^{\infty} (1 - 2^{-\infty})}{1 - (2^{-1})} = 2$$
When  $k \leq n \leq 0$ 

$$y[n] = \sum_{k=-\infty}^{\infty} x \lfloor k \rfloor = \sum_{k=-\infty}^{\infty} 2^{k}$$

$$= \frac{2^{n} (1 - 2^{-\infty})}{1 - 2^{-1}}$$





```
First bit no ISI
2nd bit yes 2 bits
3rd bit full ISI
  So do all pattens of 3 bits
              000
               001
               010
               100
               011
               101
               110
               111
   (I think this is controlly me more)
   Do the convolution for each
        Y[1]=X[12] h[0]+
                 x[1] h[]] +
                 x[0] h[12]
                 how get each of 8 calves
  He did werd starting index
```

In this class the max possible is Old

- width of eye